

Advanced Robotics

Lecture 8

Algebraic equations & affine varieties

alg. equations $\underbrace{f_k(x_1, x_2, \dots, x_m)}_{\text{polynomial}} = 0 \quad k = 1, \dots, s$

monomial



$$x^\alpha \equiv x_1^{\alpha_1} \cdot x_2^{\alpha_2} \cdot \dots \cdot x_m^{\alpha_m}$$

$$f_k(x_1, x_2, \dots, x_m) = \sum_{\alpha} a_{\alpha} x^{\alpha}$$

$$\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m) \quad \alpha_i \in \underbrace{\mathbb{Z}_{\geq 0}}$$

nonnegative
whole numbers

$$a_{\alpha} \in k \dots \text{a field } (\mathbb{R}, \mathbb{C}, \dots)$$

$k[x_1, x_2, \dots, x_m] \equiv$ the set of all polynomials in x_1, x_2, \dots, x_m

$(x_1, x_2, \dots, x_m) \in k^n \equiv$ n -dimensional linear space

Example: variables x_1, x_2, x_3

$$f = 2x_1^3x_2^2x_3 + \frac{3}{2}x_2^3x_3^3 - 3x_1x_2x_3 + x_2^2$$

The convention:

$$f = 2x^{(3,2,1)} + \frac{3}{2}x^{(0,2,3)} - 3x^{(1,1,1)} + x^{(0,2,0)}$$

Diagram labels:
 - "exponent" points to the vector $(3,2,1)$ above the first term.
 - "coefficient" points to the number 2.
 - "term" points to the entire expression $2x^{(3,2,1)}$.
 - "monomial" points to the expression $x^{(3,2,1)}$.

Total degree of f : $\deg(f) = \max_{\alpha \text{ of } f} |\alpha|$, where $f = \sum_{\alpha} a_{\alpha} x^{\alpha}$, $\alpha \in \mathbb{Z}_{\geq 0}^m$

$$|\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_m$$

Example:

$$\deg(0) = -\infty, \text{ or undefined}$$

$$\deg(f) = \max_{\alpha \in \{(3,2,1), (0,2,3), (0,2,0)\}} |\alpha| = |(3,2,1)| = 3+2+1 = 6$$

Polynomials in one variable

leading term: a non-zero polynomial

$$f(x) = a_m x^m + a_{m-1} x^{m-1} + \dots + a_0 \in k[x]$$

$a_m \neq 0$ $\text{LT}(f) = a_m x^m \equiv \text{the leading term}$

Example:

$$f = 2x^3 - 4x + 3 \Rightarrow \text{LT}(f) = 2x^3$$

Division of terms

$\alpha, \beta \in \mathbb{Z}_{\geq 0}^m$, $a_\alpha, b_\beta \in k$, $x^\alpha, x^\beta \in k[x_1, \dots, x_m]$ monomials

$a_\alpha x^\alpha$ divides $b_\beta x^\beta \stackrel{\text{def}}{=} \beta_i - \alpha_i \geq 0, i = 1, \dots, m$

If $a_\alpha x^\alpha$ divides $b_\beta x^\beta$, then there is exactly one monomial

$$c_\gamma x^\gamma = \frac{b_\beta}{a_\alpha} \cdot x^{\beta - \alpha}$$

such that $b_\beta x^\beta = a_\alpha x^\alpha \cdot c_\gamma x^\gamma$

"Division" of polynomials in one variable

polynomials ~~cannot~~ be divided but can be "divided"

$$f : g \stackrel{\text{def}}{=} f = qg + r, \quad r = 0 \vee \deg(r) < \deg(g)$$

Example $f = 2x^3 - 4x + 3$, $g(x) = x - 1$

$$\begin{aligned} f : g &= 2x^3 - 4x + 3 = 2x^2(x-1) + 2x^2 - 4x + 3 = \\ &= (2x^2 + 2x)(x-1) - 2x + 3 = \underbrace{(2x^2 + 2x - 2)}_q (x-1) + \underbrace{1}_r \end{aligned}$$

notice that: $\deg(f) = \deg(\text{LT}(f))$

$\text{LT}(g)$ divides $\text{LT}(f) \Leftrightarrow \deg(\text{LT}(g)) \leq \deg(\text{LT}(f)) \Leftrightarrow \deg(g) \leq \deg(f)$

$\text{LT}(g)$ divides $\text{LT}(f) \Leftrightarrow \deg(g) \leq \deg(f)$

"Division theorem"

Let k be a field and g be a non-zero polynomial in $k[x]$.

(i) Then every $f \in k[x]$ can be written as

$$f = qg + r$$

where $q, r \in k[x]$, and either

$$r = 0 \text{ or } \deg(r) < \deg(g).$$

(ii) Furthermore, q and r are unique.

Proof: "Division algorithm"

Input: g, f

Output: q, r

$q := 0$

$r := f$

WHILE $r \neq 0$ AND $LT(g)$ divides $LT(r)$ DO

{

$$q := q + \frac{LT(r)}{LT(g)}$$

$$r := r - \frac{LT(r)}{LT(g)} \cdot g$$

}

Observe that $f = qg + r$ holds true

$$(a) \quad q=0 \ \& \ r=f \Rightarrow 0 \cdot g + f = f$$

(b) let q_i, r_i be such that $f = q_i g + r_i$, then

$$\begin{aligned} q_{i+1} g + r_{i+1} &= \underbrace{\left(q_i + \frac{LT(r_i)}{LT(g)} \right)}_{q_{i+1}} g + \underbrace{\left(r_i - \frac{LT(r_i)}{LT(g)} \cdot g \right)}_{r_{i+1}} = \\ &= q_i g + r_i = f \end{aligned}$$

If the algorithm terminates, then either

$$r = 0 \quad \text{or}$$

$LT(g)$ does not divide $LT(r) \Leftrightarrow \deg(r) < \deg(g)$

Let us show that the algorithm terminates

Assume that the algorithm does not terminate. Then,
 $LT(g)$ divides $LT(r)$ and $r \neq 0$.

Observe that for $r_{i+1} = r_i - \frac{LT(r_i)}{LT(g)} \cdot g$ holds

r_{i+1} either $= 0$
or $\deg(r_{i+1}) < \deg(r_i)$

write $r_i = a_0 x^m + a_1 x^{m-1} + \dots + a_m$ with $m \geq l$
 $g = b_0 x^l + b_1 x^{l-1} + \dots + b_l$ ($LT(g)$ divides $LT(r_i)$)

$$\begin{aligned}
r_{i+1} &= r_i - \frac{LT(r_i)}{LT(q)} \cdot q = \underbrace{(a_0 x^m + a_1 x^{m-1} + \dots)}_{\text{cancel}} - \frac{a_0}{b_0} x^{m-l} (b_0 x^l + b_1 x^{l-1} + \dots) \\
&= (a_1 x^{m-1} + \dots) - \left(\frac{a_0}{b_0} b_1 x^{m-1} + \dots \right) \\
&= \left(a_1 - \frac{a_0}{b_0} b_1 \right) x^{m-1} + \left(a_2 - \frac{a_0}{b_0} b_2 \right) x^{m-2} + \dots
\end{aligned}$$

and therefore we see that

either $r_{i+1} = 0$ if all coefficients vanish

or $\deg(r_{i+1}) \leq m-1 < m = \deg(r_i)$

Monomial ordering

Monomials in one variable are easy to order by their degree, i.e.

$$x^0 <_{\text{deg}} x^1 <_{\text{deg}} x^2 <_{\text{deg}} \dots$$

also notice that $x^m <_{\text{deg}} x^n \Leftrightarrow x^m \text{ divides } x^n$

Not so simple with more variables

consider $xy^2, x^2y \dots$ neither one divides the other but

$$\text{deg}(xy^2) = 1+2 = 3 = 2+1 = \text{deg}(x^2y)$$

A monomial ordering on $k[x_1, \dots, x_m]$ is any ordering relation $<$ on $\mathbb{Z}_{\geq 0}^m$ satisfying:

$$(i) \quad \forall \alpha, \beta: \alpha > \beta \text{ or } \alpha < \beta$$

$$(ii) \quad \alpha > \beta \text{ \& } \gamma \in \mathbb{Z}_{\geq 0}^m \Rightarrow \alpha + \gamma > \beta + \gamma$$

$$(iii) \quad \forall \alpha: \alpha > 0$$

we write $x^\alpha > x^\beta \stackrel{\text{def}}{=} \alpha > \beta$

Lexicographic order

$$\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m), \beta = (\beta_1, \beta_2, \dots, \beta_m) \in \mathbb{Z}_{\geq 0}^m$$

$\alpha >_{\text{lex}} \beta$ if the left-most non-zero element of

$\alpha - \beta$ is positive or $\alpha - \beta = 0$.

Examples

$$(1, 2, 0) >_{\text{lex}} (0, 3, 4) \Leftarrow (1, -1, -4)$$

$$(3, 2, 4) >_{\text{lex}} (3, 2, 1) \Leftarrow (0, 0, 3)$$

Behold!

$$x, y, z \xrightarrow{\text{rename}} x_1, x_2, x_3 \Rightarrow$$

$$\begin{array}{ccc} x & y & z \\ | & | & | \\ (1, 0, 0) & >_{\text{lex}} & (0, 1, 0) & >_{\text{lex}} & (0, 0, 1) \\ | & | & | \\ z & y & x \end{array}$$

There is $m!$ lex orders

$$x, y, z \xrightarrow{\text{rename}} x_3, x_2, x_1 \Rightarrow$$

The lex ordering on \mathbb{Z}_{\geq}^m is a monomial ordering

$<_{\text{lex}}$ is an ordering ($\alpha > \alpha$; $\alpha > \beta$ & $\beta > \gamma \Rightarrow \alpha > \gamma$, $\alpha > \beta$ & $\beta > \alpha \Rightarrow \alpha = \beta$)

(a) $\alpha - \alpha = 0 \Rightarrow \alpha >_{\text{lex}} \beta$

$\exists i, j \in \mathbb{Z}_{\geq 0}^n$ such that $(\alpha - \beta)_k = 0$ and $(\beta - \gamma)_m = 0$ for $k < i$, $m < j$ &

(b) $\alpha >_{\text{lex}} \beta$, $\beta >_{\text{lex}} \gamma$ $(\alpha - \beta)_i > 0$ & $(\beta - \gamma)_j > 0$

$(\alpha - \gamma)_k = 0$ $k = 1, \dots, \min(i, j) - 1$ $\alpha_k = \beta_k = \gamma_k$

$(\alpha - \gamma)_{\min(i, j)} > 0$ $\left\{ \begin{array}{l} \min(i, j) = i \\ \min(i, j) = j \end{array} \right. \quad \begin{array}{l} \alpha_i \geq \beta_i = \gamma_i \\ \alpha_j = \beta_j \geq \gamma_j \end{array}$

$\Rightarrow \alpha >_{\text{lex}} \gamma$

(c) $\alpha >_{\text{lex}} \beta$ & $\beta >_{\text{lex}} \alpha \Rightarrow$ either $\alpha - \beta = 0$ or $\left. \begin{array}{l} \exists i \in \mathbb{Z}_{\geq 0} ((\alpha - \beta)_i > 0 \text{ & } (\beta - \alpha)_i > 0) \end{array} \right\} \Rightarrow \alpha - \beta = 0$

The lex ordering is a monomial ordering

$$(i) \quad \forall \alpha, \beta : \alpha \underset{\text{lex}}{>} \beta \text{ or } \beta \underset{\text{lex}}{>} \alpha :$$

$C = \alpha - \beta = 0 \Rightarrow \alpha = \beta$ or there is the first non-zero element c_i . If $c_i > 0$, then $\alpha \underset{\text{lex}}{>} \beta$, $\beta \underset{\text{lex}}{>} \alpha$ otherwise.

$$(ii) \quad \alpha \underset{\text{lex}}{>} \beta \text{ \& } \gamma \in \mathbb{Z}_{\geq 0}^m \Rightarrow \alpha + \gamma \underset{\text{lex}}{>} \beta + \gamma$$

$$\alpha + \gamma - (\beta + \gamma) = \alpha - \beta$$

$$(iii) \quad \forall \alpha : \alpha \underset{\text{lex}}{>} 0 \\ (\alpha - 0)_i \geq 0$$

a non-zero $f = \sum_{\alpha} a_{\alpha} x^{\alpha} \in k[x_1, \dots, x_m]$ & a monomial ordering $>$

multidegree of f $\text{multideg}(f) = \max_{>} (\alpha \in \mathbb{Z}_{\geq 0}^m \mid a_{\alpha} \neq 0)$

leading term \rightarrow $LT(f) = LC(f) \cdot LM(f)$

leading coefficient

leading monomial

$$LC(f) = a_{\text{multideg}(f)} \quad LM(f) = x^{\text{multideg}(f)}$$

Example: $f = 4xy^2z + 4z^2 - 5x^3 + 7x^2z^2$, $>_{lex}$

$$= 4x^{(1,2,1)} + 4x^{(0,0,2)} - 5x^{(3,0,0)} + 7x^{(2,0,2)}$$

$$\text{multideg}(f) = (3, 0, 0)$$

$$LC(f) = -5$$

$$LM(f) = x^3$$

$$LT(f) = -5x^3$$

Polynomial division with more divisors
in more variables

"Division" by more than one polynomial

$$f = 3x^4 - x^2 + 2x \quad , \quad f_1 = x - 1 \quad , \quad f_2 = x^2 + 1$$

$$f = 0 \cdot (x-1) + 0 \cdot (x^2+1) + 3x^4 - x^2 + 2x \quad + \quad 0$$

$$= 3x^3(x-1) + 0 \cdot (x^2+1) + 3x^3 - x^2 + 2x \quad + \quad 0$$

$$= (3x^3 + 3x^2)(x-1) + 0 \cdot (x^2+1) + 2x^2 + 2x \quad + \quad 0$$

$$= (3x^3 + 3x^2 + 2x)(x-1) + 0 \cdot (x^2+1) + 4x \quad + \quad 0$$

$$= \underline{(3x^3 + 3x^2 + 2x + 4)(x-1) + 0 \cdot (x^2+1)} \quad + \quad 4$$

$$= 3x(x^2+1) + 0 \cdot (x-1) \quad - x^2 - x \quad + \quad 0$$

$$= (3x-1)(x^2+1) + 0 \cdot (x-1) \quad - x + 1 \quad + \quad 0$$

$$= \underline{(3x-1)(x^2+1) - 1 \cdot (x-1)} \quad + \quad 0$$

We see that $f : (f_1, f_2) \neq f : (f_2, f_1) \Rightarrow f : \{f_1, f_2\}$
not well defined

"Division theorem" for more than one divisor in $k[x_1, \dots, x_m]$

Let $>$ be a monomial order on \mathbb{Z}_{\geq}^m and $F = (f_1, \dots, f_s)$ an ordered s -tuple, $f_i \in k[x_1, \dots, x_m]$. Then every $f \in k[x_1, \dots, x_m]$ can be written as

$$f = a_1 f_1 + \dots + a_s f_s + r$$

$a_i, r \in k[x_1, \dots, x_m]$ and either

$r = 0$ or none of the monomials of r is divisible by any of $LT(f_1), \dots, LT(f_s)$.

Furthermore

$$a_i f_i \neq 0 \Rightarrow \text{multideg}(f) \geq \text{multideg}(a_i f_i)$$

$r \equiv$ remainder of f on division by $F \dots r = \overline{f}^F$

with the notation $F = (f_1, \dots, f_s)$

"Division algorithm" for more than one divisor in $k[x_1, \dots, x_m]$

Input: $F = (f_1, \dots, f_s)$, f Output: $a_1, \dots, a_s, r \equiv \overline{f}^F$

$a_1 := a_2 := \dots := a_s := r := 0, p := f$

WHILE $p \neq 0$ DO

{ $i := 1$

 divisionoccured := FALSE

 WHILE $i \leq s$ AND divisionoccured = FALSE DO

 { IF $LT(f_i)$ divides $LT(p)$ THEN

 { $a_i := a_i + \frac{LT(p)}{LT(f_i)}$

$p := p - \frac{LT(p)}{LT(f_i)} \cdot f_i$

 divisionoccured := TRUE }

 ELSE { $i := i + 1$ } }

 IF divisionoccured = FALSE THEN

 { $r := r + LT(p)$

$p := p - LT(p)$ }

}

Proof as for 1 variable
degree \rightarrow multidegree
 $r \rightarrow p$

Example

$$x \succ_{lex} y \quad f = xy^2 + x + 1, \quad f_1 = xy + 1, \quad f_2 = y + 1$$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ (1,2) & (1,0) & (0,0) \end{array}, \quad \begin{array}{cc} \downarrow & \downarrow \\ (1,1) & (0,0) \end{array}, \quad \begin{array}{cc} \downarrow & \downarrow \\ (0,1) & (0,0) \end{array}$$

$$f = y(xy + 1) + \underbrace{x - y + 1}_{\substack{\downarrow \\ (1,0) \quad \downarrow \\ (0,1) \quad \downarrow \\ (0,0)}} = y(xy + 1) - \underbrace{1}_{\substack{\downarrow \\ a_2}}(y + 1) + \underbrace{x + 2}_{\substack{\downarrow \\ r}}$$

$$f = \underbrace{0}_{a_1} \cdot f_1 + \underbrace{0}_{a_2} \cdot f_2 + \underbrace{xy^2 + x + 1}_p + \underbrace{0}_r$$

$$= y \cdot f_1 + 0 \cdot f_2 + x - y + 1 + 0$$

$$= y \cdot f_1 + 0 \cdot f_2 - y + 1 + x$$

$$= y \cdot f_1 - 1 \cdot f_2 + 2 + x$$

$$= y \cdot f_1 - 1 \cdot f_2 + x + 2$$

Example:

$$f = xy^2 - x \quad f_1 = xy + 1 \quad f_2 = y^2 - 1$$

$$\succ_{\text{lex}}, \quad x \succ_{\text{lex}} y$$

$$a) \quad f : (f_1, f_2)$$

$$xy^2 - x = \underbrace{y}_{a_1} (xy + 1) + \underbrace{0}_{a_2} \cdot (y^2 - 1) + \underbrace{(-x - y)}_r$$

$$b) \quad f : (f_2, f_1)$$

$$xy^2 - x = \underbrace{x}_{a_1} (y^2 - 1) + \underbrace{0}_{a_2} \cdot (xy + 1) + \underbrace{0}_r$$

The order of polynomials in F matters

Affine varieties

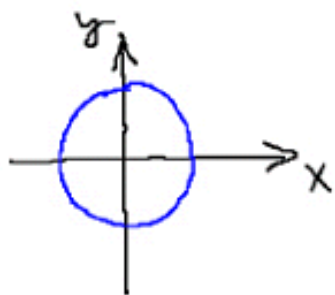
$f_k(x_1, x_2, \dots, x_m)$... algebraic equations

Algebraic variety \equiv the set of points for which all equations f_k are satisfied

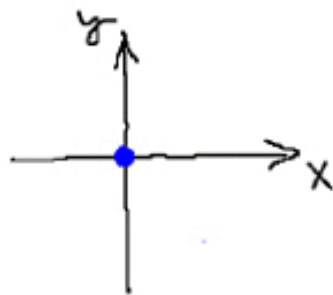
$$V = \{ (x_1, x_2, \dots, x_m) \mid \underline{f_k(x_1, x_2, \dots, x_m) = 0}, k = 1, 2, \dots, s \}$$

Examples:

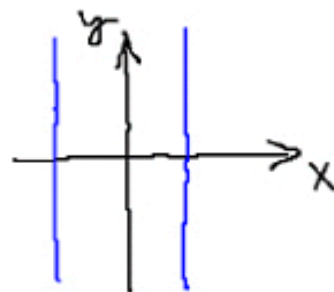
$$\{x^2 + y^2 = 2\}$$



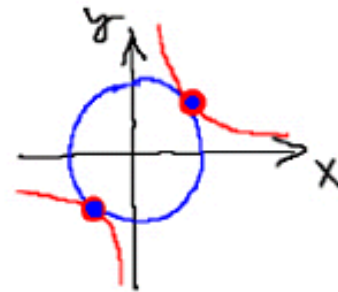
$$\{x^2 + y^2 = 0\}$$



$$\{x^2 = 1\}$$



$$\{x^2 + y^2 = 1, xy = 1\}$$



For solving IKU, we are interested in situations when there is a finite number of solutions \equiv finite affine varieties

Notice that:

$$1) f(a_1, a_2, \dots, a_m) = 0 \ \& \ g \in k[x_1, x_2, \dots, x_m] \Rightarrow (f \cdot g)(a_1, a_2, \dots, a_m) = 0$$

$$2) f(a_1, a_2, \dots, a_m) = 0 \ \& \ g(a_1, a_2, \dots, a_m) = 0 \Rightarrow (f + g)(a_1, a_2, \dots, a_m) = 0$$

\Rightarrow there is an **infinite** number of different sets of algebraic equations defining **the same variety**

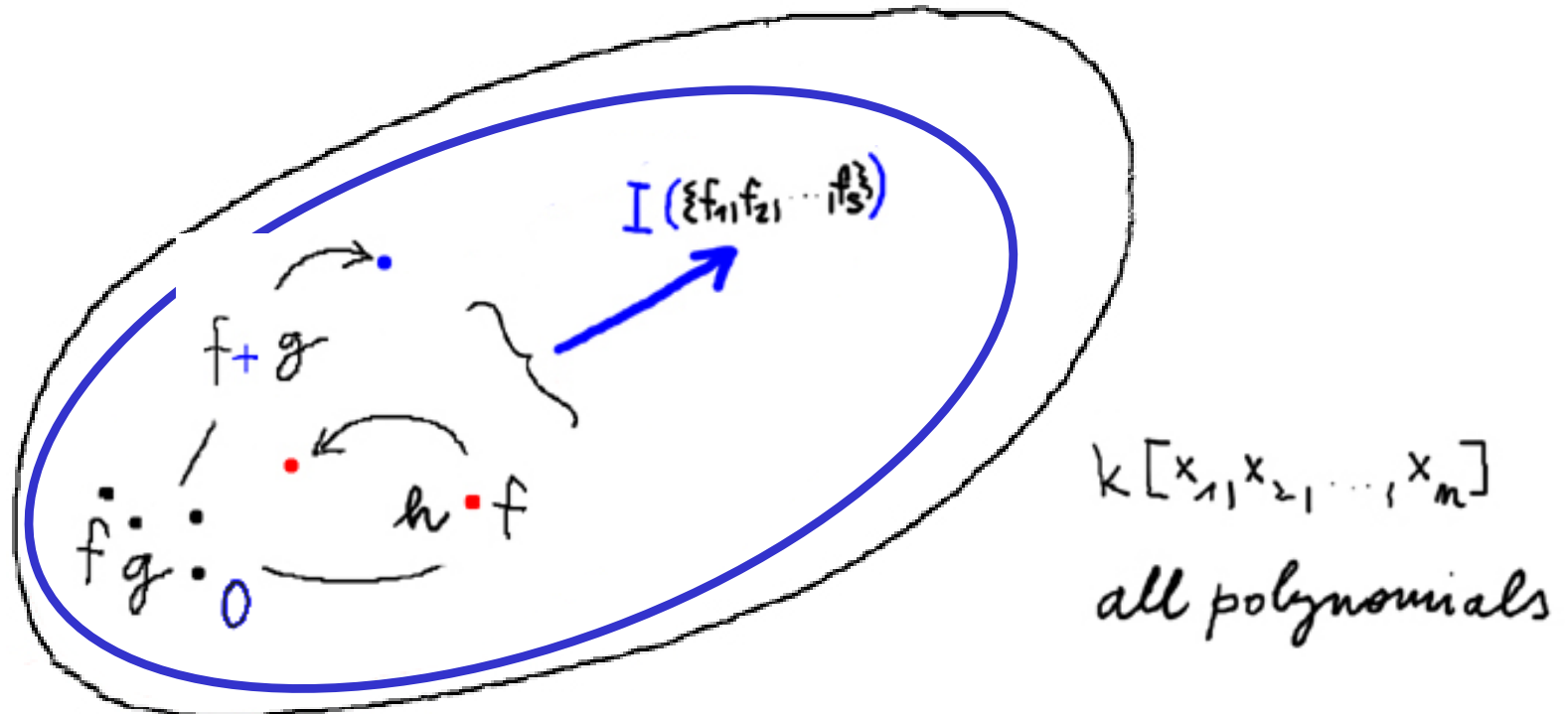
New "true" equations can be generated by algebraic operations with polynomials



Ideal generated by polynomials

Ideal: A subset $I \subseteq k[x_1, x_2, \dots, x_m]$ is an **ideal** if it satisfies:

- (i) $0 \in I$
- (ii) $f, g \in I \Rightarrow f + g \in I$
- (iii) $f \in I$ & $h \in k[x_1, x_2, \dots, x_m] \Rightarrow h \cdot f \in I$



Ideal generated by a variety

Theorem: Let V be an affine variety. Then

$$I(V) = \{f \in k[x_1, \dots, x_n] \mid f(x) = 0, \forall x \in V\}$$

is an ideal.

Proof:

$$(i) \quad 0 \in I$$

$$(ii) \quad f, g \in I \Rightarrow f + g \in I$$

$$(iii) \quad f \in I \ \& \ h \in k[x_1, x_2, \dots, x_n] \Rightarrow h \cdot f \in I$$

$$(i) \quad 0(x) = 0$$

$$(ii) \quad \begin{aligned} &f(x) = 0 \ \& \ g(x) = 0 \\ \Rightarrow &(f + g)(x) = f(x) + g(x) = 0 + 0 = 0 \end{aligned}$$

$$(iii) \quad \begin{aligned} &f(x) = 0 \\ \Rightarrow &(f \cdot h)(x) = f(x) \cdot h(x) = 0 \cdot h(x) = 0 \end{aligned}$$

Ideal generated by polynomials and by the corresponding variety

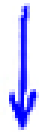
polynomials

Variety generated by $\{f_1, f_2, \dots, f_s\}$

$$\{f_1, f_2, \dots, f_s\}$$



$$V(\{f_1, f_2, \dots, f_s\})$$



$$I(\{f_1, f_2, \dots, f_s\})$$

\subseteq

$$I(V)$$

The ideal generated by polynomials $\{f_1, f_2, \dots, f_s\}$

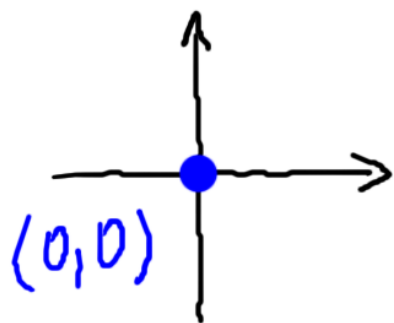
The ideal generated by variety V



?

Example $\{x^2, y^2\} \rightarrow V(\{x^2, y^2\})$

$$\begin{array}{ccc} & \downarrow & \downarrow \\ & \mathbb{I}(\{x^2, y^2\}) & \subseteq \mathbb{I}(V(\{x^2, y^2\})) \end{array}$$



$$\{x^2, y^2\}$$

$$V(\{x^2, y^2\}) = \{(0,0)\}$$

$$\mathbb{I}(V(\{x^2, y^2\})) = \mathbb{I}(\{x, y\})$$

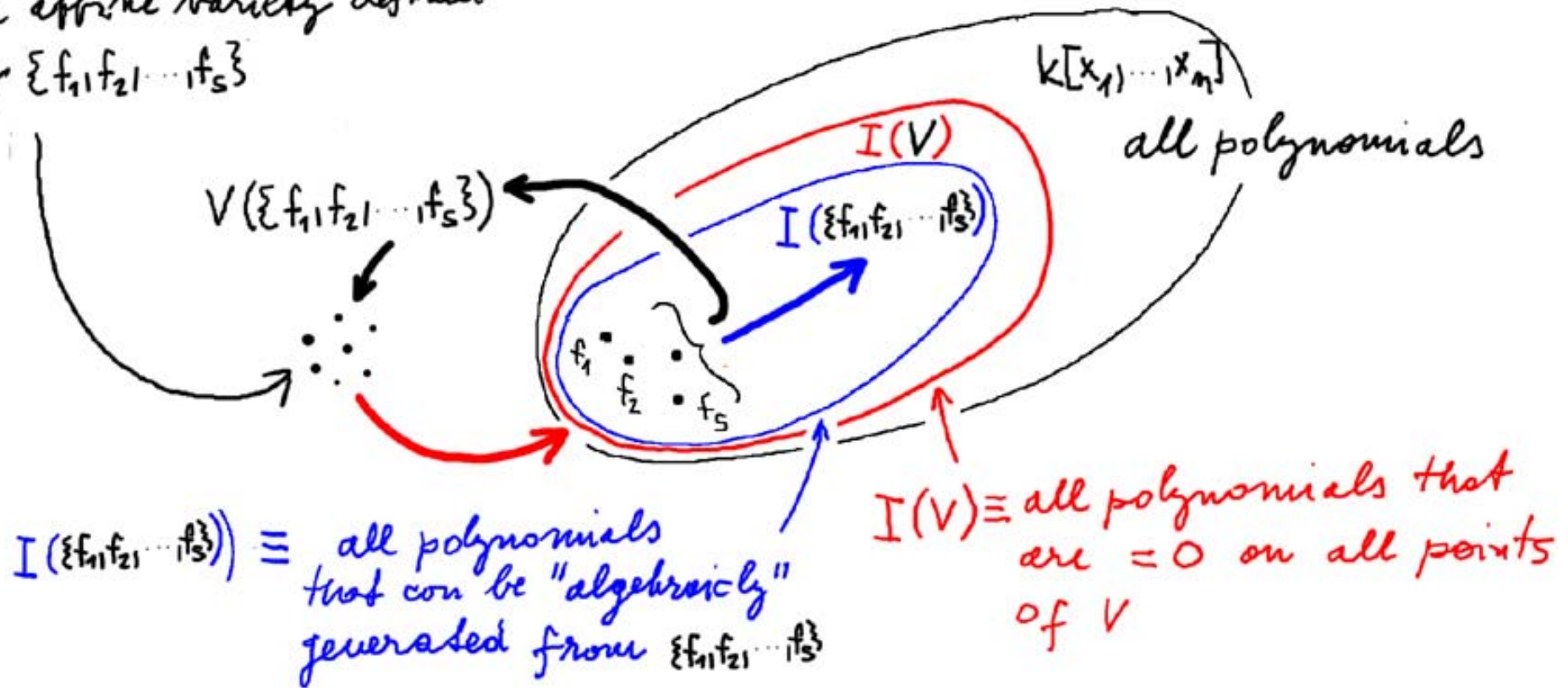
$$\mathbb{I}(\{x^2, y^2\}) \subsetneq \mathbb{I}(\{x, y\})$$

because $x, y \in \mathbb{I}(\{x, y\})$ but $x, y \notin \mathbb{I}(\{x^2, y^2\})$

as every $\neq h_1(x, y)x^2 + h_2(x, y)y^2$ has total degree at least two

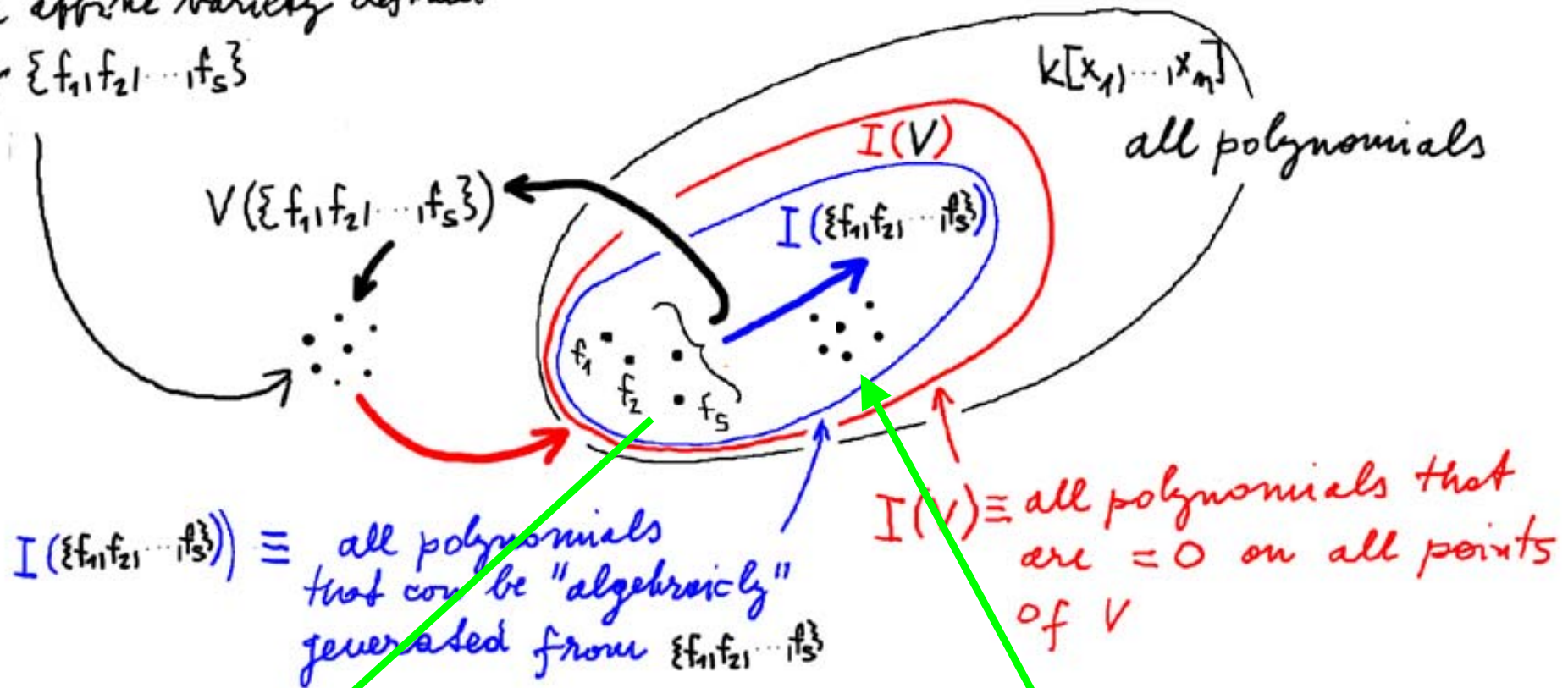
The complete picture

the affine variety defined
by $\{f_1, f_2, \dots, f_s\}$



Groebner basis is a special basis of the Ideal

the affine variety defined
by $\{f_1, f_2, \dots, f_s\}$



Basis:

$$B = \{f_1, f_2, \dots, f_s\}$$

Algebraic

manipulation

Groebner basis w.r.t. $\langle lex \rangle$:

$$G = \{g_1, g_2, \dots, g_n\}$$

Reading the solution out from a Groebner basis

Theorem 3: Let G be a Groebner basis constructed by the Buchberger algorithm w.r.t. $x_1 \succ_{lex} \dots \succ_{lex} x_m$ from polynomials $\{f_1, \dots, f_s\} \in \mathbb{C}[x_1, \dots, x_m]$ for which equations $\{f_i = 0\}_{i=1, \dots, s}$ have a finite number of solutions. Then G contains a polynomial $g \in \mathbb{C}[x_m]$.

There is often even more:

G often consists of a set of polynomials

$$g_n(x_n)$$

$$g_{n-1}(x_n, x_{n-1})$$

$$g_{n-2}(x_n, x_{n-1}, x_{n-2})$$

⋮

$$g_1(x_n, x_{n-1}, x_{n-2}, \dots, x_1)$$

A working definition of a

Groebner basis (of an ideal)

(A basis) $G = (g_1, \dots, g_t)$ (of an ideal I) is a Groebner basis

if the remainder on division of $f \in k[x_1, \dots, x_m]$

by G does not depend on the ordering of g_i in G .

Beware! only r is unique - a_i 's need not be unique

Least common multiple of monomials

Let $x^\alpha, x^\beta \in k[x_1, \dots, x_m]$ be monomials, then x^γ with

$$\gamma_i = \max(\alpha_i, \beta_i), \quad i = 1, \dots, m \quad \text{is}$$

the least common multiple — $\text{LCM}(x^\alpha, x^\beta)$ — of x^α, x^β

Example:

$$x^\alpha = x y^3 z^2$$

$$\downarrow$$
$$\alpha = (1, 3, 2)$$

$$x^\beta = y z^6$$

$$\downarrow$$
$$\beta = (0, 1, 6)$$

$$\gamma = \max((1, 3, 2), (0, 1, 6)) = (1, 3, 6)$$

$$x^\gamma = x y^3 z^6$$

The S-polynomial (designed to cancel the leading terms)

The S-polynomial of $f, g \in k[x_1, \dots, x_n]$ is the (algebraic) combination

$$S(f, g) = \frac{\text{LCM}(\text{LM}(f), \text{LM}(g))}{\text{LT}(f)} \cdot f - \frac{\text{LCM}(\text{LM}(f), \text{LM}(g))}{\text{LT}(g)} \cdot g$$

Example: $f = x^3y^2 - x^2y^3 + x$, $g = 3x^4y + y^2 \in \mathbb{R}[x, y]$
with $x \succ_{\text{lex}} y$

$$S(f, g) = \frac{\text{LCM}(x^3y^2, x^4y)}{x^3y^2} \cdot f - \frac{\text{LCM}(x^3y^2, x^4y)}{3x^4y} \cdot g = \frac{x^4y^2}{x^3y^2} \cdot f - \frac{x^4y^2}{3x^4y} g$$

$$= x \cdot f - \frac{1}{3} y g = \underbrace{x^4y^2 - x^3y^3 + x^2}_{\text{cancel}} - \frac{1}{3} y^3 = -x^3y^3 + x^2 - \frac{1}{3} y^3$$

Characterization of Groebner bases in terms of S-polynomials

A set $G = \{g_1, \dots, g_t\}$ of polynomials in $k[x_1, \dots, x_n]$ is a Groebner basis if for all $i, j \in \{1, \dots, t\}$, $i \neq j$, the remainder on division of $S(g_i, g_j)$ by G (with arbitrary but fixed order of g_k) is zero

Algorithm:

$\{f_1, \dots, f_s\}$ polynomials in $k[x_1, \dots, x_n]$

Input: $F = (f_1, \dots, f_s)$ Output: a Groebner basis $G = (g_1, \dots, g_t)$

$G := F$

REPEAT

$G' := G$

 FOR each pair $(p, q) \in G'$, $p \neq q$ DO

$S = \overline{S(p, q)}_{G'}$

 IF $S \neq 0$ THEN $G := G \cup \{S\}$

$\{$

UNTIL $G = G'$

Example: $k[x, y]$, $x >_{\text{lex}} y$ $F = (f_1, f_2) = (x^3 - 2xy, x^2y - 2y^2 + x)$

$$F \text{ is not GB: } S(f_1, f_2) = \frac{x^3y}{x^3} f_1 - \frac{x^3y}{x^2y} f_2 = y f_1 - x f_2 = -2xy^2 + 2xy^2 - x^2 = -x^2$$

$$\text{and } \overline{S(f_1, f_2)}^F = -x^2 \neq 0$$

$$G_1 = F \cup \{-x^2\} = (f_1, f_2, -x^2)$$

$$S(f_1, x^2) = \frac{x^3}{x^3} f_1 - \frac{x^3}{x^2} x^2 = -2xy; \quad \overline{S(f_1, x^2)}^{G_1} = -2xy$$

$$S(f_2, x^2) = \frac{x^2y}{x^2y} f_2 - \frac{x^2y}{x^2} x^2 = -2y^2 + x; \quad \overline{S(f_2, x^2)}^{G_1} = -2y^2 + x$$

$$G_2 = (f_1, f_2, -x^2, -2xy, x - 2y^2) = (f_1, f_2, f_3, f_4, f_5)$$

$$S(f_1, f_4) = \frac{x^3y}{x^3} f_1 - \frac{x^3y}{-2xy} f_4 = -2xy^2; \quad \overline{S(f_1, f_4)}^{G_2} = 0$$

$$S(f_2, f_4) = \frac{x^2y}{x^2y} f_2 - \frac{x^2y}{-2xy} f_4 = x - 2y^2; \quad \overline{S(f_2, f_4)}^{G_2} = 0$$

⋮

$$S(f_4, f_5) = \frac{xy}{-2xy} f_4 - \frac{xy}{x} f_5 = 3y^3; \quad \overline{3y^3}^{G_2} = 3y^3$$

$$G_3 = (x^3 - 2xy, x^2y - 2y^2 + x, -x^2, -2xy, x - 2y^2, 3y^3)$$

 f_1
 f_2
 f_3
 f_4
 f_5
 f_6

$$f_1 = -x f_3 + f_4$$

$$f_2 = -y f_3 + f_5$$

$$f_3 = -x f_5 + y f_4$$

$$f_4 = -2y f_5 - \frac{4}{3} f_6$$

$$\Rightarrow G_4 = (x - 2y^2, 3y^3)$$

 f_5
 f_6

$$S(f_5, f_6) = \frac{xy^3}{x} f_5 - \frac{xy^3}{3y^3} f_6 = -2y^5$$

$$\overline{-2y^5}_{G_4} = 0$$

Therefore G_3 is a Groebner basis. It contains f_1, f_2

G_4 is also a Groebner basis. It generates the same ideal as G_3 .