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# Optic Flow Computation with High Accuracy

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joint work with

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partially funded by DFG

# Introduction (1)

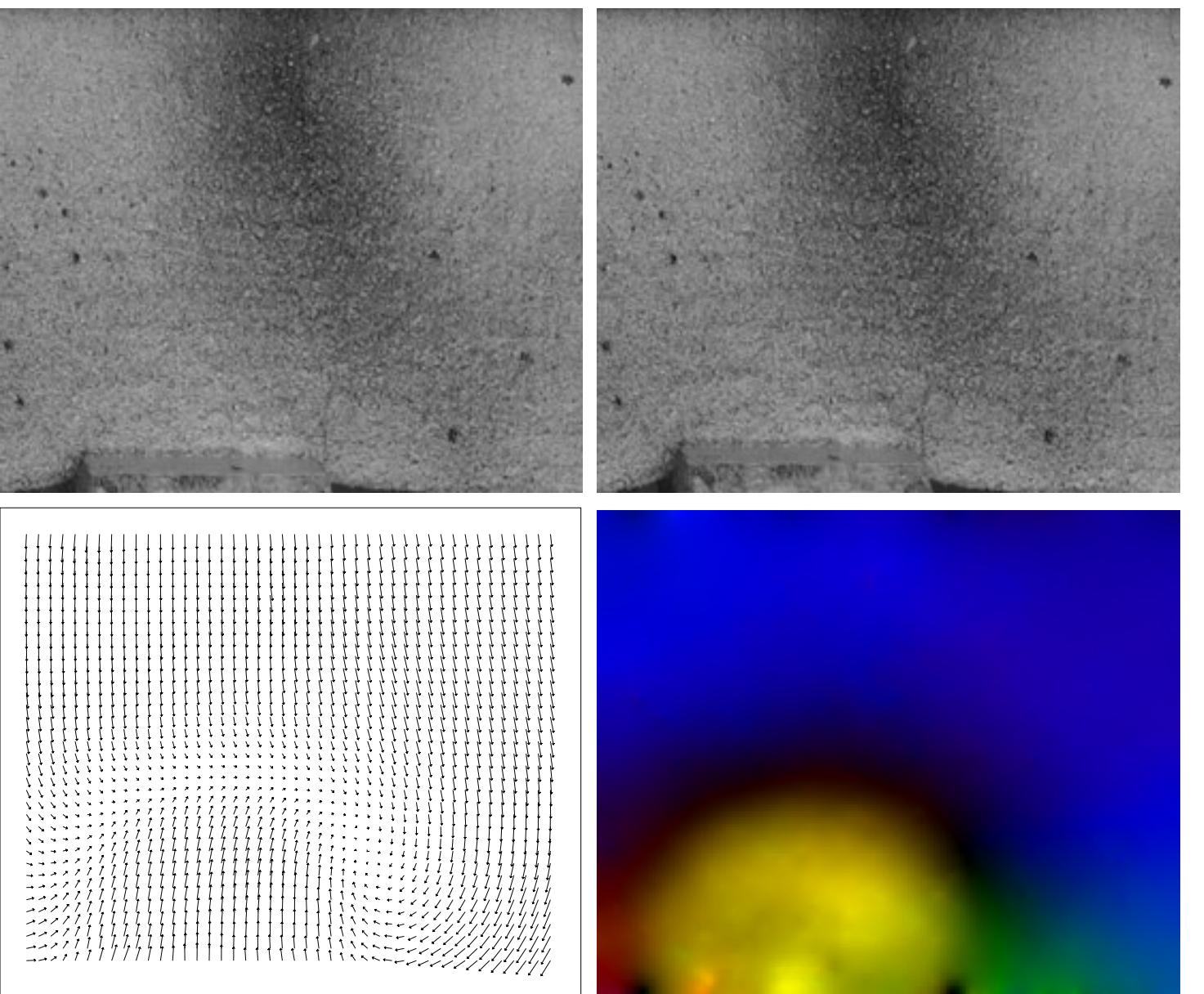
## The Optic Flow Problem

- ◆ given:
  - image sequence  $I(\mathbf{x})$  where  $\mathbf{x} = (x, y, t)^\top$
  - can be Gaussian-smoothed:  $I = K_\sigma * I_0$
- ◆ wanted:
  - displacement field (*optic flow*)  $\mathbf{w} = (u, v, 1)^\top$
  - $\mathbf{w}$  matches object at location  $(x, y)$  at time  $t$  to its location  $(x+u, y+v)$  at time  $t + 1$ .

## What is Optic Flow Good for?

- ◆ extracting motion information e.g. in robotics
- ◆ compact coding of image sequences
- ◆ related correspondence problems in computer vision:  
e.g. stereo reconstruction and medical image registration

## Introduction (2)



Deformation analysis of plastic foam using an optic flow method. (a) **Top left:** Frame 1 of a deformation sequence. (b) **Top right:** Frame 2. (c) **Bottom left:** Colour-coded displacement field. (d) **Bottom right:** Vector plot of the displacement field.

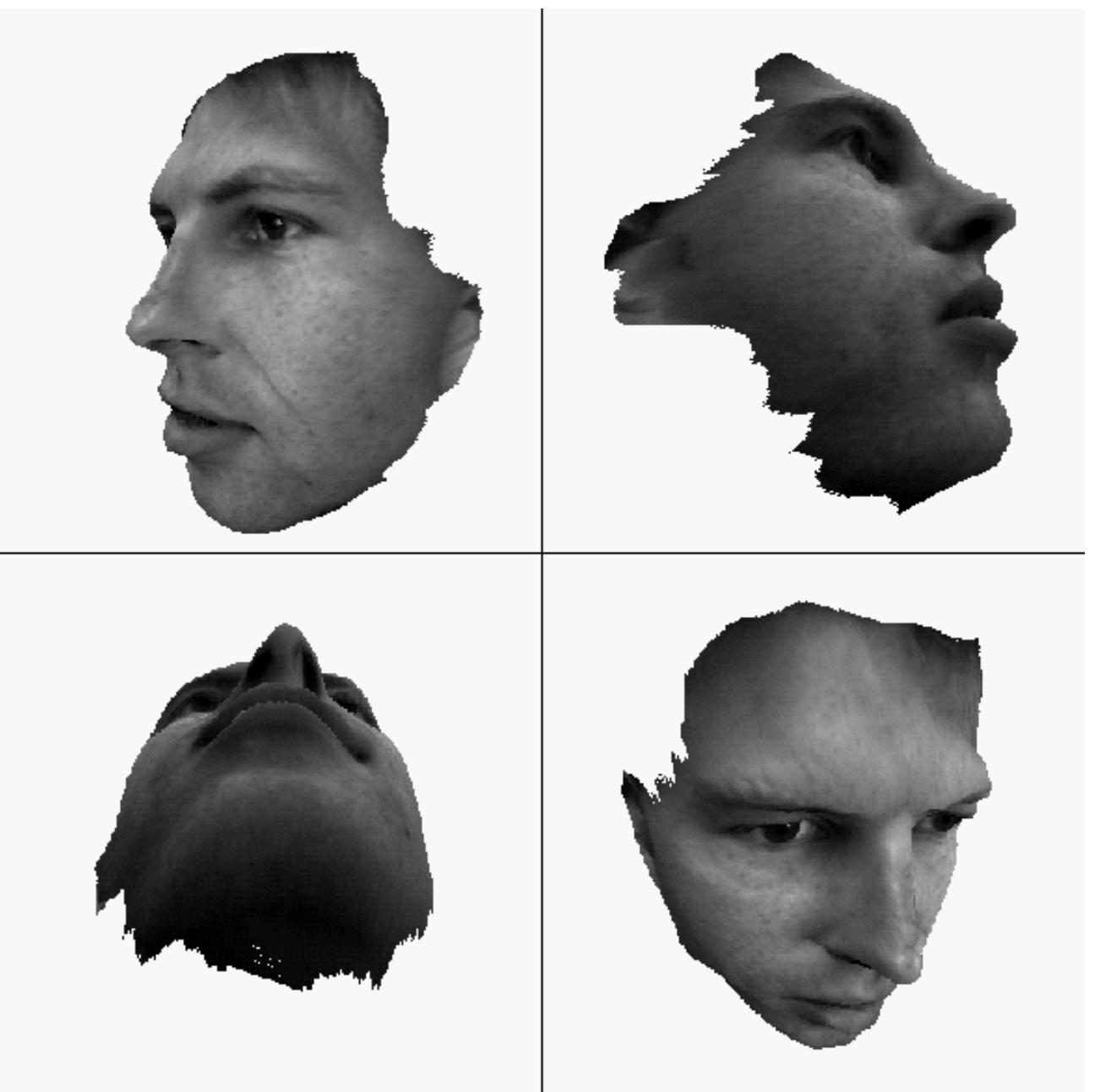
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## Introduction (3)



Pair of stereo images.

## Introduction (4)



Four views of a stereo reconstruction algorithm based on optic flow ideas. **Authors:**  
Alvarez/Deriche/Sánchez/Weickert (2002)

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# Introduction (5)

## Variational Optic Flow Methods

- ◆ optic flow as minimiser of a suitable energy functional:  
data constraints plus smoothness constraints
- ◆ clear formalism without hidden model assumptions
- ◆ rotationally invariant continuous formulations possible
- ◆ create dense flow fields
- ◆ first model due to Horn and Schunck (1981),  
but many improvements in the meantime:
  - modified data and smoothness constraints  
(Nagel 1983, Cohen 1993, Alvarez et al. 1999, W./Schnörr 2000)
  - theoretical foundation (Snyder 1991, W./Schnörr 2000)
  - efficient numerical algorithms  
(Glazer 1984, Terzopoulos 1986, Ghosal/Vaněk 1996, Bruhn et al. 2003)
- ◆ competitive performance

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## Open Problems

- ◆ further improvements possible ?
- ◆ some very good methods use strategies that lack theoretical foundation

## Goals

- ◆ presentation of an optic flow algorithm with very good performance
- ◆ theoretical justification of widely used warping technique

## Some Related Work

- ◆ L. Alvarez, J. Weickert, and J. Sánchez, *IJCV* 2000.
- ◆ M. Lefébure and L. D. Cohen, *JMIV* 2001.
- ◆ E. Mémin and P. Pérez, *ICCV* 1998.

# Outline

## Outline

- ◆ Variational Model
- ◆ Algorithmic Aspects
- ◆ Relations to Warping
- ◆ Evaluation
- ◆ Conclusions

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- ◆ **Variational Model**
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# Variational Model (1)

## ◆ Basic assumptions

- Greyvalue constancy

$$I_{\mathbf{w}} := I(\mathbf{x} + \mathbf{w}) - I(\mathbf{x}) = 0$$

- Gradient constancy

$$I_{x\mathbf{w}} := \partial_x I(\mathbf{x} + \mathbf{w}) - \partial_x I(\mathbf{x}) = 0$$

$$I_{y\mathbf{w}} := \partial_y I(\mathbf{x} + \mathbf{w}) - \partial_y I(\mathbf{x}) = 0$$

- Spatio-temporal smoothness

$$|\nabla u|^2 + |\nabla v|^2 = 0$$

$$\nabla = (\partial_x, \partial_y, \partial_t)^\top$$

- Robustness

$$\Psi(s^2) = \sqrt{s^2 + \epsilon^2}$$

## ◆ Energy to minimise:

$$E(u, v) = \int_{\Omega} \Psi(I_{\mathbf{w}}^2 + \gamma \cdot (I_{x\mathbf{w}}^2 + I_{y\mathbf{w}}^2)) \, d\mathbf{x} + \alpha \int_{\Omega} \Psi(|\nabla u|^2 + |\nabla v|^2) \, d\mathbf{x}$$

## Variational Model (2a)

Minimiser has to fulfill the Euler-Lagrange equations

$$\begin{aligned} & \alpha \operatorname{div} (\Psi'(|\nabla u|^2 + |\nabla v|^2) \nabla u) \\ &= \Psi'(\mathbf{I}_{\mathbf{w}}^2 + \gamma(\mathbf{I}_{x\mathbf{w}}^2 + \mathbf{I}_{y\mathbf{w}}^2)) \cdot (\mathbf{I}_x \mathbf{I}_{\mathbf{w}} + \gamma(\mathbf{I}_{xx} \mathbf{I}_{x\mathbf{w}} + \mathbf{I}_{xy} \mathbf{I}_{y\mathbf{w}})) \end{aligned}$$

$$\begin{aligned} & \alpha \operatorname{div} (\Psi'(|\nabla u|^2 + |\nabla v|^2) \nabla v) \\ &= \Psi'(\mathbf{I}_{\mathbf{w}}^2 + \gamma(\mathbf{I}_{x\mathbf{w}}^2 + \mathbf{I}_{y\mathbf{w}}^2)) \cdot (\mathbf{I}_y \mathbf{I}_{\mathbf{w}} + \gamma(\mathbf{I}_{xy} \mathbf{I}_{x\mathbf{w}} + \mathbf{I}_{yy} \mathbf{I}_{y\mathbf{w}})) \end{aligned}$$

where the indices denote differences or partial derivatives:

$$\begin{array}{lll} I_{\mathbf{w}} &:=& I(\mathbf{x} + \mathbf{w}) - I(\mathbf{x}) & I_x &:=& \partial_x I(\mathbf{x} + \mathbf{w}) & I_y &:=& \partial_y I(\mathbf{x} + \mathbf{w}) \\ I_{x\mathbf{w}} &:=& \partial_x I(\mathbf{x} + \mathbf{w}) - \partial_x I(\mathbf{x}) & I_{xx} &:=& \partial_{xx} I(\mathbf{x} + \mathbf{w}) & I_{yy} &:=& \partial_{yy} I(\mathbf{x} + \mathbf{w}) \\ I_{y\mathbf{w}} &:=& \partial_y I(\mathbf{x} + \mathbf{w}) - \partial_y I(\mathbf{x}) & I_{xy} &:=& \partial_{xy} I(\mathbf{x} + \mathbf{w}) \end{array}$$

## Variational Model (2b)

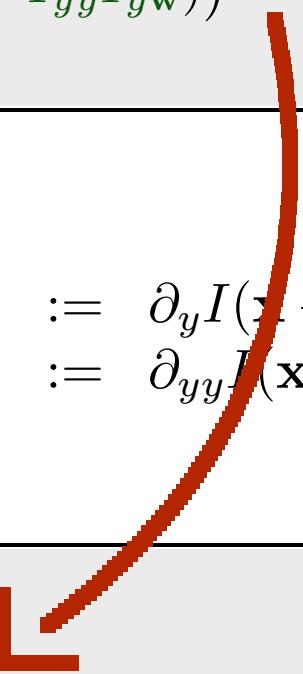
Minimiser has to fulfill the Euler-Lagrange equations

$$\begin{aligned} & \alpha \operatorname{div} (\Psi'(|\nabla u|^2 + |\nabla v|^2) \nabla u) \\ &= \Psi'(\mathbf{I}_{\mathbf{w}}^2 + \gamma(\mathbf{I}_{x\mathbf{w}}^2 + \mathbf{I}_{y\mathbf{w}}^2)) \cdot (\mathbf{I}_x \mathbf{I}_{\mathbf{w}} + \gamma(\mathbf{I}_{xx} \mathbf{I}_{x\mathbf{w}} + \mathbf{I}_{xy} \mathbf{I}_{y\mathbf{w}})) \\ & \alpha \operatorname{div} (\Psi'(|\nabla u|^2 + |\nabla v|^2) \nabla v) \\ &= \Psi'(\mathbf{I}_{\mathbf{w}}^2 + \gamma(\mathbf{I}_{x\mathbf{w}}^2 + \mathbf{I}_{y\mathbf{w}}^2)) \cdot (\mathbf{I}_y \mathbf{I}_{\mathbf{w}} + \gamma(\mathbf{I}_{xy} \mathbf{I}_{x\mathbf{w}} + \mathbf{I}_{yy} \mathbf{I}_{y\mathbf{w}})) \end{aligned}$$

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$$S(\mathbf{w}) = D(\mathbf{w})$$



# Outline

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- ◆ Variational Model
- ◆ **Algorithmic Aspects**
- ◆ Relations to Warping
- ◆ Evaluation
- ◆ Conclusions

## Problem 1: Local Minima

- ◆ energy functional  $E(u, v)$  is not convex
- ◆ reason: terms involving  $I(\mathbf{x} + \mathbf{w})$
- ◆ should not be linearised for large displacements
- ◆ numerical algorithms may yield suboptimal local minima of  $E(u, v)$ , if initialisation is not chosen properly

## Solution: Initialisation by Coarse-to-Fine Strategy

- ◆ downsample problem in a full pyramid
- ◆ start with zero displacement at coarsest scale
- ◆ solve Euler-Lagrange equations  $S(w) = D(w)$
- ◆ use resulting **flow field** as initialisation at next finer scale

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## Problem 2: Solve Euler-Lagrange Equations

- ◆ discretise  $S(w) = D(w)$  by finite differences
- ◆ yields large nonlinear system of equations
- ◆ nonlinearity caused by  $I(\mathbf{x} + \mathbf{w})$  and nonlinear penaliser  $\Psi$

## Solution

- ◆ nonlinear system is simplified by
  - two nested fixed point iterations
  - linearisation of  $I(\mathbf{x} + \mathbf{w})$
- ◆ leads to large linear system of equations
- ◆ can be solved by iterative methods such as SOR

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## Algorithmic Aspects (3)

### Detailed Structure on the Linear System

Resulting linear system for  $du^{k,l+1}, dv^{k,l+1}$ :

$$\begin{aligned}
 & \alpha \operatorname{div} ((\Psi')^{k,l} \text{Smooth} \nabla (u^k + du^{k,l+1})) \\
 = & (\Psi')^{k,l} \text{Data} \cdot \left( I_x^k (I_z^k + I_x^k du^{k,l+1} + I_y^k dv^{k,l+1}) \right. \\
 + & \left. \gamma (I_{xx}^k (I_{xz}^k + I_{xx}^k du^{k,l+1} + I_{xy}^k dv^{k,l+1}) + I_{xy}^k (I_{yz}^k + I_{xy}^k du^{k,l+1} + I_{yy}^k dv^{k,l+1})) \right)
 \end{aligned}$$

$$\begin{aligned}
 & \alpha \operatorname{div} ((\Psi')^{k,l} \text{Smooth} \nabla (v^k + dv^{k,l+1})) \\
 = & (\Psi')^{k,l} \text{Data} \cdot \left( I_y^k (I_z^k + I_x^k du^{k,l+1} + I_y^k dv^{k,l+1}) \right. \\
 + & \left. \gamma (I_{xy}^k (I_{xz}^k + I_{xx}^k du^{k,l+1} + I_{xy}^k dv^{k,l+1}) + I_{yy}^k (I_{yz}^k + I_{xy}^k du^{k,l+1} + I_{yy}^k dv^{k,l+1})) \right)
 \end{aligned}$$

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# Relations to Warping (1)

## Warping

- ◆ widely used for optic flow computation with large displacements (e.g. Anandan 1989, Black/Anandan 1996, Mémin/Pérez 1998)
- ◆ downsample image data
- ◆ solve problem at coarse scale
- ◆ use this flow field at next finer scale:  
warp **image** in order to compensate for this estimated motion
- ◆ solve modified problem (with other image data) at finer scale
- ◆ continue until finest scale reached
- ◆ sum up optic flow contributions from all scales
- ◆ successful in practice, but no theoretical justification!

## Relations to Warping (2)

We have proven equivalence between

- ◆ our numerical method for minimising a simplified energy  $E(u, v)$  by coarse-to-fine flow initialisations and nested fixed point iterations
- ◆ warping method (nested problems with motion-compensated image data) of Mémin / Pérez

They lead to the same linear system of equations.

This explains the success of warping:

**Warping has a sound theory as a numerical algorithm for  
minimising a single energy functional !**

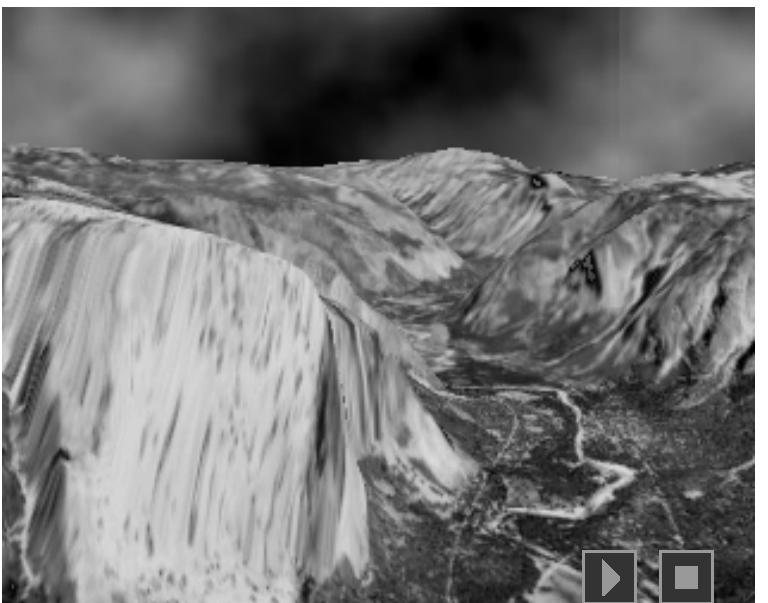
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# Outline

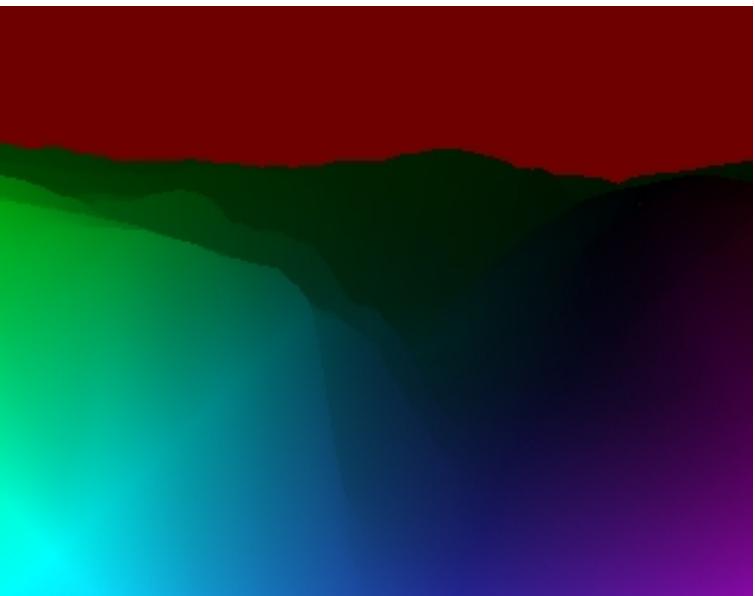
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## Evaluation (1)



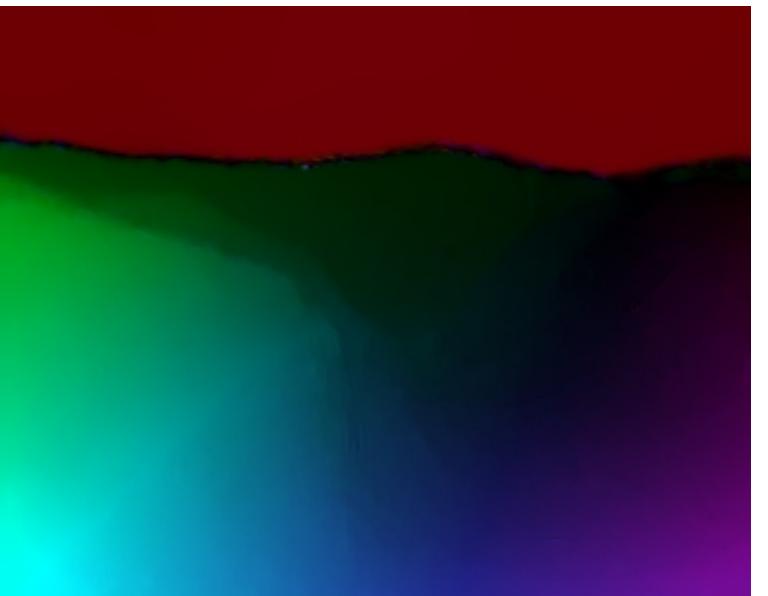
Sequence



Ground Truth

Yosemite Sequence

- ◆ Synthetic sequence ( $316 \times 252 \times 15$ )
- ◆ Known ground truth between frame 8 and frame 9

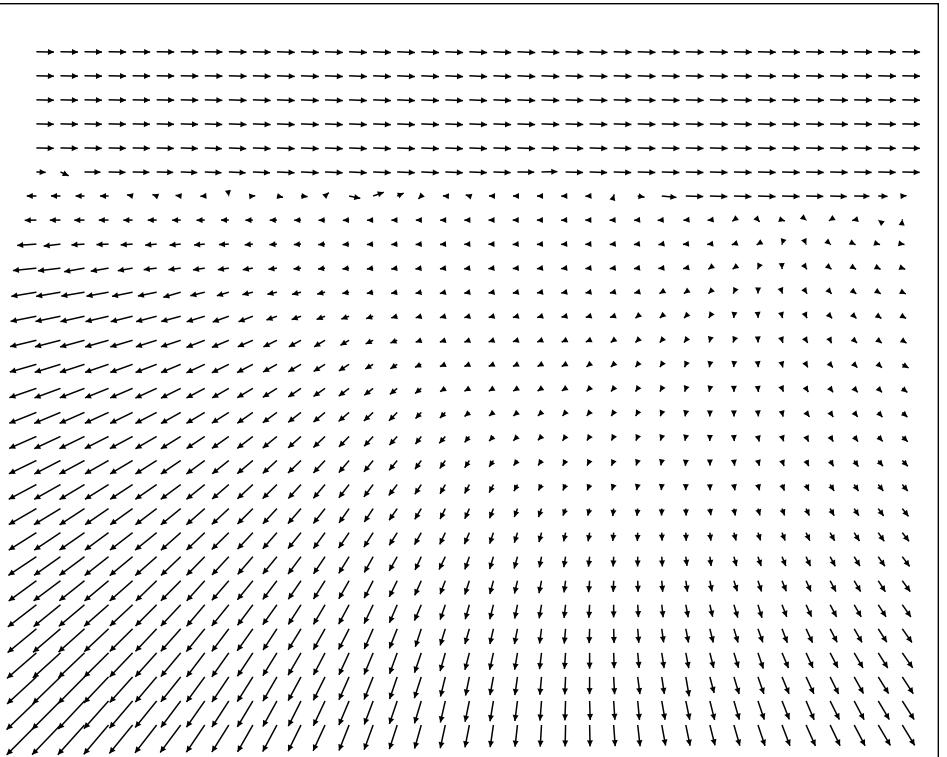
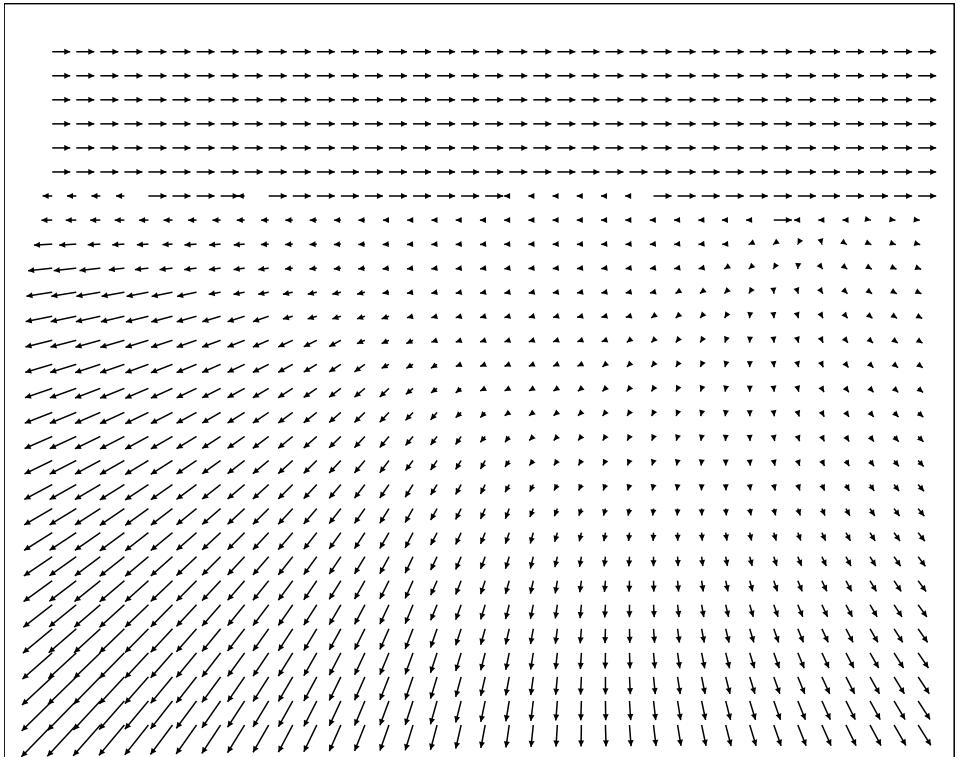


Computed Flow

## Evaluation (2)

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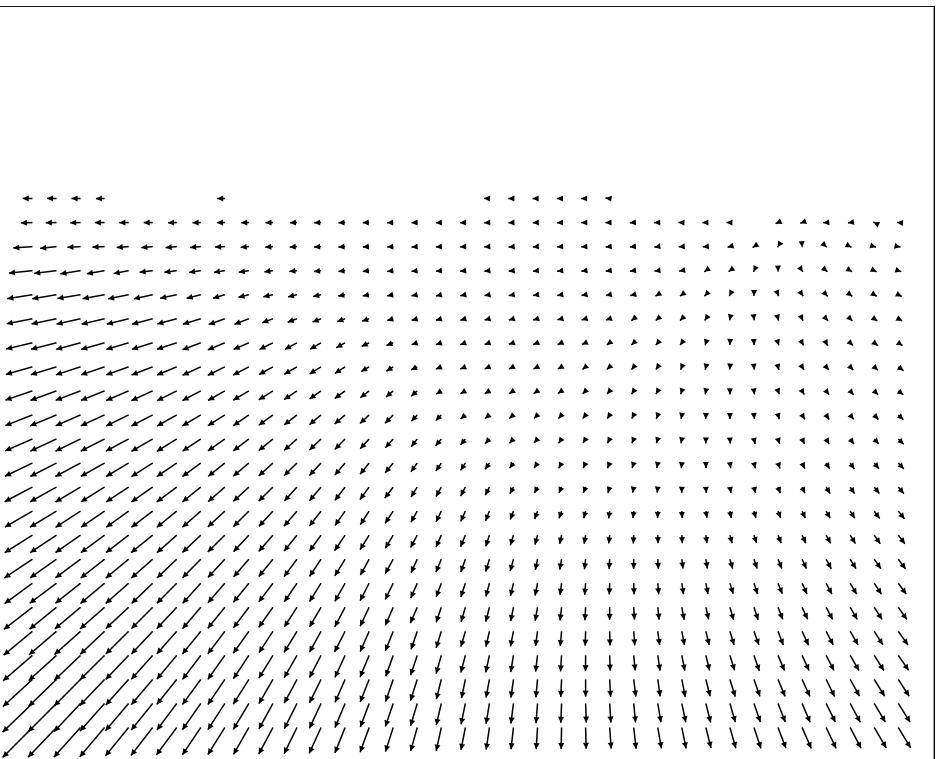
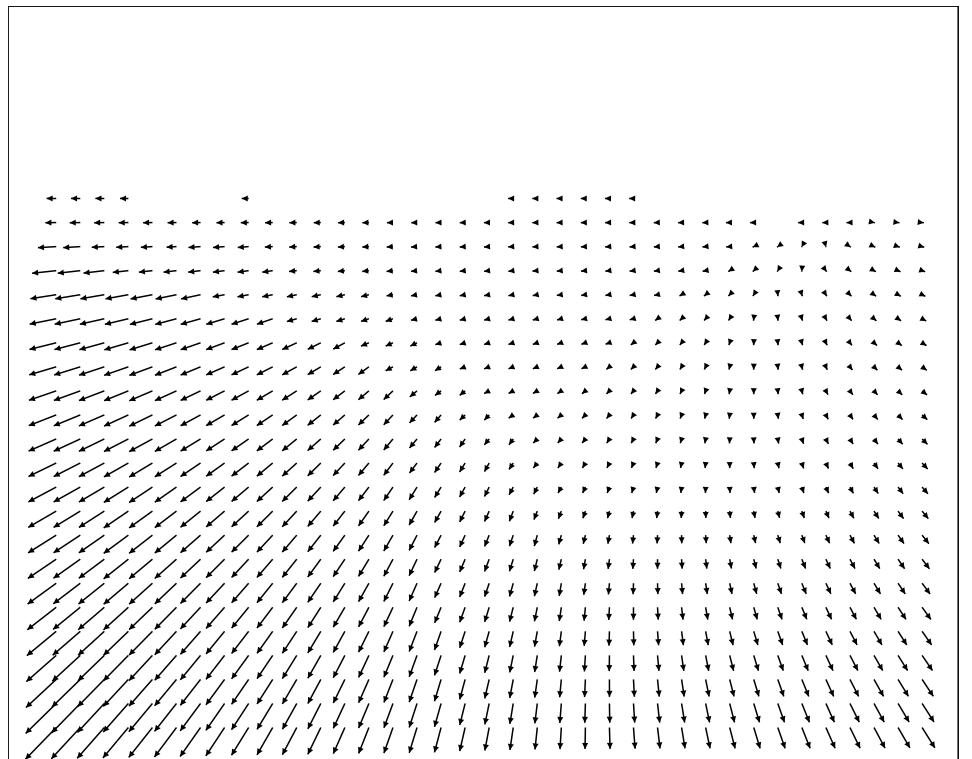
### Qualitative Evaluation



Vector plot of the optic flow field for the Yosemite sequence **with** clouds. (a) **Left:** Ground truth. (b) **Right:** Computed flow.

## Evaluation (3)

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Vector plot of the optic flow field for the Yosemite sequence **without** clouds. (a) **Left:** Ground truth.  
(b) **Right:** Computed flow.

## Evaluation (4)

### Quantitative Evaluation

- ◆ Comparison to the best results from literature
- ◆ Average angular errors (AAE) and standard deviations (STD) for the Yosemite sequence **with clouds**:

Yosemite with clouds		
Technique	AAE	STD
Anandan 1989	13.36°	15.64°
Nagel 1983	10.22°	16.51°
Horn/Schunck, mod. 1981	9.78°	16.19°
Uras <i>et al.</i> 1988	8.94°	15.61°
Alvarez <i>et al.</i> 2000	5.53°	7.40°
Weickert <i>et al.</i> 2003	5.18°	8.68°
Mémin/Pérez 1998	4.69°	6.89°
our method	1.94°	6.02°

## Evaluation (5)

- ◆ For the Yosemite sequence **without** clouds, even better results are possible:

Yosemite without clouds		
Technique	AAE	STD
Black/Anandan 1996	4.56°	4.21°
Ju <i>et al.</i> 1996	2.16°	2.00°
Bab-Hadiashar/Suter 1997	2.05°	2.92°
Lai/Vemuri 1998	1.99°	1.41°
Mémin/Pérez 1998	1.58°	1.21°
Weickert <i>et al.</i> 2003	1.46°	1.50°
Farnebäck 2003	1.14°	2.14°
our method	0.98°	1.17°

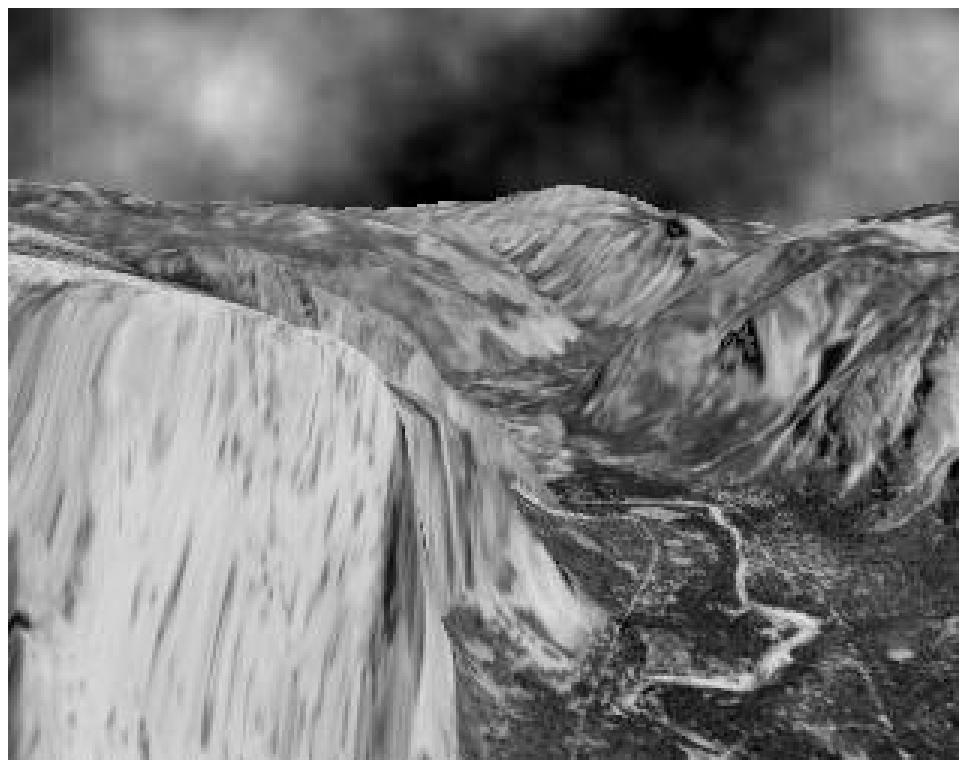
## Robustness under Noise

- ◆ Added Gaussian noise with zero mean and different standard deviations  $\sigma_n$ .
- ◆ Results for Yosemite sequence with clouds:

$\sigma_n$	AAE	STD
0	1.94°	6.02°
10	2.50°	5.96°
20	3.12°	6.24°
30	3.77°	6.54°
40	4.37°	7.12°

- ◆ Average angular error for  $\sigma_n = 40$  outperforms all other methods with  $\sigma_n = 0$  !

## Evaluation (7)



Frame 8 of the Yosemite sequence with clouds. (a) **Left:** Original. (b) **Right:** Gaussian noise with standard deviation  $\sigma_n = 40$  added.

## Evaluation (8)

### Robustness under Parameter Variations

- ◆ Three intuitive parameters:
  - $\sigma$ : Gaussian presmoothing of the input data
  - $\alpha$ : weight of smoothness term
  - $\gamma$ : weight of gradient constancy term
- ◆ Parameter variation for the Yosemite sequence with clouds:

$\sigma$	$\alpha$	$\gamma$	AAE
0.8	80	100	1.94°
0.4	"	"	2.10°
1.6	"	"	2.04°
0.8	80	100	1.94°
"	40	"	2.67°
"	160	"	2.21°
0.8	80	100	1.94°
"	"	50	2.07°
"	"	200	2.03°

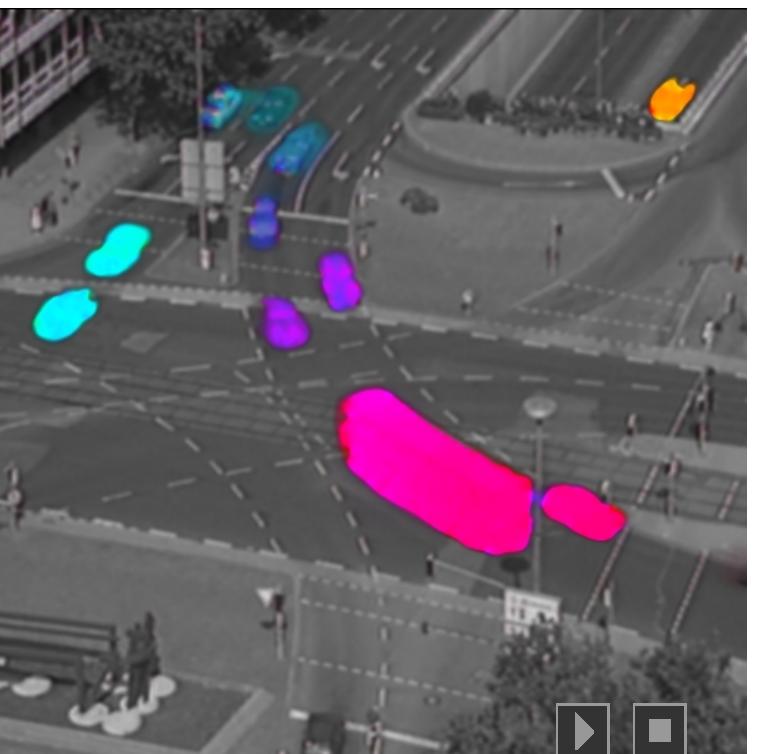
- ◆ Deviations from the optimum by a factor 2 hardly influence the result.

## Real-World Data

- ◆ Real-world image sequence "Ettlinger Tor" by Nagel ( $512 \times 512 \times 50$ )



Sequence



Computed Flow

# Outline

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- ◆ Relation to Warping
- ◆ Evaluation
- ◆ **Conclusions**

## Summary

### ◆ novel model

- gradient constancy assumption within energy functional
- combines many successful features in a single functional

### ◆ novel theory

- postpone all linearisations to the numerical scheme
- numerical scheme based on two nested iterations
- warping theoretically justified as a special numerical approximation

### ◆ excellent results

- angular errors belong to smallest in the literature
- robust under parameter variations
- highly robust under noise

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## Conclusions (2)

### Ongoing Work

- ◆ alternative data terms
- ◆ correspondence between data and smoothness terms
- ◆ automated selection of smoothing parameters  $\sigma, \alpha$
- ◆ more efficient numerics: PCG, multigrid, domain decomposition
- ◆ novel warpings inspired from suitable numerics ?

### Message

- ◆ It is advantageous to combine transparent continuous modelling with consistent numerics.
- ◆ Good performance and deeper theoretical understanding are not contradictory: They are two sides of the same medal.

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Thanks

Thank you very much!

more informations:

[www.mia.uni-saarland.de](http://www.mia.uni-saarland.de)





