

# Two-dimensional Context-free Grammars

Daniel Průša\*

Charles University, Department of Computer Science, Malostranské nám. 25, 118 00  
PRAHA 1, Czech Republic, e-mail: prusa@barbora.ms.mff.cuni.cz

**Abstract.** We present a generalization of context-free grammars to two-dimensions and define picture languages generated by these grammars. We examine some properties of the formed class and we describe how these languages can be recognized by two-dimensional forgetting automata.

## 1 Introduction

The goal of this paper is to present one of possible generalizations of concepts of context-free grammars and languages to two dimensions. Informally, a two-dimensional string (called a picture) is defined as a rectangular array of symbols from a finite alphabet. A picture language is a set of pictures.

Some proposals of two-dimensional context-free languages already exist ([4], [2]), however a complete theory has not been formed yet. It is a difficult task. The situation is rather complicated even in case of regular languages. We emphasize that our ambitions are not to claim what two-dimensional context-free languages should be. We generalize concepts of context-free grammars in a natural way only and study the formed class of picture languages. However, in the text, we use terms like two-dimensional context-free grammar, resp. language to refer to them.

Our generalized grammars have productions whose left sides are non-terminals and the right sides are matrixes of terminals and non-terminals. This idea is not original. It can be found for example in [7], where productions with the right side restricted to one row or column are considered only. The other example is in [8]. Some basic facts, that we extend, are mentioned there.

Our results on the class of context-free languages include the facts that not all languages recognized by (two-dimensional) finite state automata are context-free and that the restricted grammars from [7] are weaker than

---

\* Supported by the Grant Agency of the Czech Republic, Grant-No. 157/1999/A INF/MFF

the presented grammars. In addition, we describe how context-free languages can be recognized by two-dimensional forgetting automata. This construction is based on results in [8] and [1].

## 2 Picture Languages

We assume that the reader is familiar with the theory of one-dimensional languages as can be found for example in [3]. We extend some basic definitions from the one-dimensional theory now. More details can be found in [4].

**Definition 1.** A picture over a finite alphabet  $\Sigma$  is a two-dimensional rectangular array (matrix) of elements of  $\Sigma$ .  $\Sigma^{**}$  denotes the set of all pictures over  $\Sigma$ . A picture language over  $\Sigma$  is a subset of  $\Sigma^{**}$ .

Let  $O \in \Sigma^{**}$  be a picture.  $rows(O)$ , resp.  $cols(O)$  denotes the number of rows, resp. columns of  $O$ . The pair  $rows(O) \times cols(O)$  is called the size of  $O$ . We say that  $O$  is a square picture of the size  $n$  if  $rows(O) = cols(O) = n$ . The empty picture  $\Lambda$  is the only picture of the size  $0 \times 0$ . For integers  $i, j$  such that  $1 \leq i \leq rows(O)$ ,  $1 \leq j \leq cols(O)$ ,  $O(i, j)$  denotes the symbol in  $O$  at the coordinate  $(i, j)$ . A sub-picture of  $O$  is a sub-matrix of it.

We use  $[a_{ij}]_{m,n}$  to denote the matrix

$$\begin{array}{ccc} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \dots & a_{mn} \end{array}$$

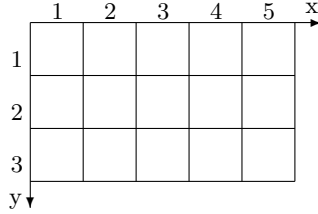
We define two binary operations – the row and the column concatenation. Let  $A = [a_{ij}]_{k,l}$  and  $B = [b_{ij}]_{m,n}$  be non-empty pictures over  $\Sigma$ . The column concatenation  $A \circ B$  is defined iff  $k = m$  and the row concatenation  $A \oplus B$  iff  $l = n$ . The results of the operations are given by the following schemes:

$$\begin{array}{ccc} & & a_{11} \dots a_{1k} \\ & & \vdots \quad \ddots \quad \vdots \\ A \circ B = & a_{11} \dots a_{1l} & b_{11} \dots b_{1n} \\ & \vdots \quad \ddots \quad \vdots & \vdots \quad \ddots \quad \vdots \\ & a_{k1} \dots a_{kl} & b_{m1} \dots b_{mn} \end{array} \quad \begin{array}{ccc} & & a_{k1} \dots a_{kl} \\ & & b_{11} \dots b_{1n} \\ A \oplus B = & & \vdots \quad \ddots \quad \vdots \\ & & b_{m1} \dots b_{mn} \end{array}$$

Moreover, the column and the row concatenation of  $A$  and  $\Lambda$  is always defined and  $\Lambda$  is the neutral element for both operations.

The unary operation  $\oplus$  is defined on a set of matrixes whose elements are pictures over an alphabet. Let  $P_{ij}$ ,  $i = 1, \dots, m$ ,  $j = 1, \dots, n$  be pictures over  $\Sigma$  such that  $\forall i \in \{1, \dots, m\} \text{ rows}(P_{i1}) = \text{rows}(P_{i2}) = \dots = \text{rows}(P_{in})$  and  $\forall j \in \{1, \dots, n\} \text{ cols}(P_{1j}) = \text{cols}(P_{2j}) = \dots = \text{cols}(P_{mj})$ .  $\oplus[P_{ij}]_{m,n}$  is defined as  $P_1 \oplus P_2 \oplus \dots \oplus P_m$ , where  $P_k = P_{k1} \oplus P_{k2} \oplus \dots \oplus P_{kn}$ .

In our descriptions we use the system of coordinates in a picture depicted in Fig. 1. Speaking about a position of a specific field, we use words like up, down, right, left, first row, last row etc. with respect to this scheme.



**Fig. 1.** The system of coordinates used in our picture descriptions.

### 3 Two-dimensional Automata

The two-dimensional Turing machine works on a two-dimensional tape. It can move its head left, right, up and down. We give its formal definition only. Terms like configuration, computation, accepting, recognized language, etc. are defined in a natural way. Details can be found in [4].

**Definition 2.** Two-dimensional Turing machine is a tuple  $(Q, \Sigma, \Sigma_0, q_0, \delta, Q_F)$ , where  $Q$  is a finite set of states,  $\Sigma$  is a tape alphabet,  $\Sigma_0 \subset \Sigma$  is an input alphabet,  $q_0 \in Q$  is the initial state,  $Q_F \subseteq Q$  is a set of final states and  $\delta : \Sigma \times Q \rightarrow 2^{\Sigma \times Q \times \mathcal{M}}$  is a transition relation.  $\mathcal{M} = \{L, R, U, D, N\}$  is the set of automaton movements (left, right, up, down, no movement). We always assume that there is a distinguished symbol  $\# \in \Sigma \setminus \Sigma_0$  called the background symbol.

A two-dimensional Turing machine is *bounded* iff the head does not leave an input during the computation (when it encounters  $\#$  it returns

in the next step and does not rewrite this symbol). We consider bounded machines in the following text only. A two-dimensional finite state automaton is a two-dimensional Turing machine that does not rewrite any symbol during its computation. We abbreviate it as *FSA*, deterministic *FSA* as *DFSA*.

## 4 Two-dimensional Context-free Grammars

**Definition 3.** Two-dimensional context-free grammar  $G$  is a tuple  $(V_N, V_T, S_0, \mathcal{P})$ , where  $V_N$  is a finite set of non-terminals,  $V_T$  is a finite set of terminals,  $S_0 \in V_N$  is the initial non-terminal and  $\mathcal{P}$  is a finite set of productions of the form  $N \rightarrow W$ , where  $N \in V_N$  and  $W \in (V_N \cup V_T)^{**} \setminus \{\Lambda\}$ . In addition,  $\mathcal{P}$  can contain  $S_0 \rightarrow \Lambda$ . In such a case,  $S_0$  is not a part of any right side of productions.

**Definition 4.** Let  $G = (V_N, V_T, S_0, \mathcal{P})$  be a planar context-free grammar. We define a picture language  $\mathcal{L}(G, N)$  over  $V_T$  for every  $N \in V_N$ . The definition is given by the following recursive description:

- A) If  $N \rightarrow W$  is a production in  $\mathcal{P}$  and  $W \in V_T^{**}$ , then  $W$  is in  $\mathcal{L}(G, N)$ .
- B) Let  $N \rightarrow [A_{ij}]_{m,n}$  be a production in  $\mathcal{P}$  (not  $S_0 \rightarrow \Lambda$ ) and  $P_{ij}$  ( $i = 1, \dots, n$   $j = 1, \dots, m$ ) pictures such that: For every pair of indexes  $i, j$ , if  $A_{ij}$  is a terminal then  $P_{ij}$  is the picture of the size  $1 \times 1$  whose the only field contains the symbol  $A_{ij}$ . If  $A_{ij}$  is non-terminal then  $P_{ij} \in \mathcal{L}(G, A_{ij})$ . In addition,  $\bigoplus[P_{ij}]_{m,n}$  is defined. Then  $\bigoplus[P_{ij}]_{m,n}$  is an element of  $\mathcal{L}(G, N)$ .

The set  $\mathcal{L}(G, N)$  contains just all pictures that can be obtained by applying a finite sequence of rules A) and B). The language  $\mathcal{L}(G)$  generated by the grammar  $G$  is defined as the language  $\mathcal{L}(G, S_0)$ .

We abbreviate a two-dimensional context-free grammar as *CFG*.  $\mathcal{L}(CFG)$  is the class of all two-dimensional context-free languages. *CF* stands for context-free. An equivalent definition of a language generated by a context-free grammar is based on a generalization of derivation trees.

**Definition 5.** Let  $G = (V_N, V_T, S_0, \mathcal{P})$  be a *CFG*. A derivation tree for  $G$  is every tree  $T$  satisfying:

- $T$  has at least two vertices.
- Each vertice  $v$  of  $T$  is labeled by a pair  $(a, k \times l)$ . If  $v$  is a leaf then  $a \in V_T$ ,  $k = l = 1$  else  $a \in V_N$ ,  $k, l \geq 1$  are integers.

- Edges are labeled by pairs  $(i, j)$ . Let us denote the set of labels of all edges connecting  $v$  with its descendant as  $I(v)$ . It holds that  $I(v) = \{1, \dots, m\} \times \{1, \dots, n\}$  and  $m.n$  is the number of descendants of  $v$ .
- Let  $v$  be a vertex of  $T$  labeled  $(N, k \times l)$ , where  $I(v) = \{1, \dots, m\} \times \{1, \dots, n\}$ . Let the edge labeled  $(i, j)$  connect  $v$  and its descendant  $v_{ij}$  labeled  $(A_{ij}, k_i \times l_j)$ . Then  $\sum_{i=1}^m k_i = k$ ,  $\sum_{j=1}^n l_j = l$  and  $N \rightarrow [A_{ij}]_{m,n}$  is a production in  $\mathcal{P}$ .

If  $S_0 \rightarrow \Lambda \in \mathcal{P}$  then the tree  $T_\Lambda$  with two vertices – the root labeled  $(S_0, 0 \times 0)$  and the leaf labeled  $(\Lambda, 0 \times 0)$  is a derivation tree for  $G$  too.

Let  $T$  be a derivation tree for a CF grammar  $G = (V_N, V_T, S, \mathcal{P})$ ,  $V$  set of its vertices. We assign a picture to each vertex of  $T$  by defining a function  $p : V \rightarrow V_T^{**}$ : if  $v \in V$  is a leaf labeled  $(a, 1 \times 1)$  then  $p(v) = a$  else  $p(v) = \bigoplus [P_{ij}]_{m,n}$ , where  $I(v) = \{1, \dots, m\} \times \{1, \dots, n\}$ ,  $P_{ij} = p(v_{ij})$ ,  $v_{ij}$  is a descendant of  $v$  connected by the edge labeled  $(i, j)$ .  $p(T)$  is defined as  $p(r)$ , where  $r$  is the root of  $T$ .  $p(T_\Lambda) = \Lambda$ . Observation: if  $v \in V$  is labeled  $(N, k \times l)$  then  $rows(p(v)) = k$ ,  $cols(p(v)) = l$ .

**Lemma 1.** Let  $G = (V_N, V_T, S, \mathcal{P})$  be a CF grammar and  $N \in V_N$ .

1. Let  $T$  be a derivation tree for  $G$  having its root labeled  $(N, k \times l)$ . Then  $p(T) \in \mathcal{L}(G, N)$ .
2. Let  $O$  be a picture in  $\mathcal{L}(G, N)$ . There exists a derivation tree for  $G$  with root labeled  $(N, k \times l)$  such that  $rows(O) = k$ ,  $cols(O) = l$  and  $p(T) = O$ .

*Proof.* The lemma follows directly from the previous definitions. □

*Example 1.* Let us define the picture language  $L$  over  $\Sigma = \{a, b\}$ .

$$L = \{O \mid O \in \{a, b\}^{**} \wedge \exists i, j \in \mathbf{N} : 1 < i < rows(O) \wedge 1 < j < cols(O) \wedge \forall x \in \{1, \dots, rows(O)\}, y \in \{1, \dots, cols(O)\} : O(x, y) = a \Leftrightarrow x \neq i \wedge y \neq j\}$$

$L$  is context-free. It is generated by the CF grammar  $G = (V_N, \Sigma, S, \mathcal{P}, S)$ , where  $V_N = \{S, A, V, H, M\}$  and the set  $\mathcal{P}$  consists of the following productions:

$$\begin{array}{ccccccc} & A & V & A & & & \\ S \rightarrow & H & b & H & A \rightarrow M & A \rightarrow A M & M \rightarrow a & M \rightarrow \begin{array}{c} a \\ M \end{array} \\ & A & V & A & & & \\ & & & & V \rightarrow b & V \rightarrow \begin{array}{c} b \\ V \end{array} & H \rightarrow b & H \rightarrow b H \end{array}$$

The non-terminal  $A$  generates the language  $\{a\}^{**} \setminus \{\Lambda\}$ ,  $M$  generates one-column pictures of  $a$ 's,  $V$  generates one-column pictures of  $b$ 's and finally  $H$  generates one-row pictures of  $b$ 's.

Let us consider  $CF$  grammars with productions of the form  $N \rightarrow a$ ,  $N \rightarrow [A_{1j}]_{1,2}$  and  $N \rightarrow [A_{i1}]_{2,1}$ , where  $a$  is a terminal and  $A_{ij}$  are non-terminals. These grammars are presented in [7]. Let us denote them as  $CFG2$ . We prove that their generative power is less than the generative power of  $CFG$ 's.

**Theorem 1.**  $\mathcal{L}(CFG2)$  is a proper subset of  $\mathcal{L}(CFG)$ .

*Proof.* By a contradiction. Let  $G = (V_N, V_T, S, \mathcal{P})$  be a  $CFG2$  generating the language  $L$  from the Example 1. Let us consider an integer  $n \geq 3$ . We denote the set of all square pictures of the size  $n$  in  $L$  as  $L_1$ .  $n$  can be chosen sufficiently large so that no picture in  $L_1$  equals to the right side of anyone production in  $\mathcal{P}$ .  $L_1$  consists of  $(n-2)^2$  pictures. At least  $\lceil \frac{(n-2)^2}{|\mathcal{P}|} \rceil$  pictures are derived in the last step using the same production. Without loss of generality, let it be the production  $S \rightarrow AB$ . If  $n$  is sufficiently large there exist two pictures with different indexes of the row of  $b$ 's (maximally  $n-2$  pictures in  $L_1$  can have the same index of the row of  $b$ 's). Let us denote these pictures as  $O$  and  $\bar{O}$ . It holds  $O = O_1 \circ O_2$ ,  $\bar{O} = \bar{O}_1 \circ \bar{O}_2$ , where  $O_1, \bar{O}_1 \in \mathcal{L}(G, A)$  and  $O_2, \bar{O}_2 \in \mathcal{L}(G, B)$ . It implies  $O = O_1 \circ \bar{O}_2 \in \mathcal{L}(G)$ . It is a contradiction,  $O$  contains  $b$  in the first and in the last column, but these  $b$ 's are not in the same row.  $\square$

*Example 2.* Let us define the language  $L$  over the alphabet  $\Sigma = \{0, 1, x\}$  consisting just of all pictures  $O \in \Sigma^{**}$  satisfying: 1)  $O$  is a square picture of an odd size, 2)  $O(i, j) = x \Leftrightarrow i, j$  are odd indexes, 3) if  $O(i, j) = 1$  then the  $i$ -th row or the  $j$ -th column (at least one of them) consists of 1's

**Lemma 2.**  $L$  can be recognized by a  $DFSA$ .

*Proof.*  $DFSA$  automaton  $T$  recognizing  $L$  can be constructed as follows.  $T$  checks if an input picture is a square picture of an odd size. It can be done moving the head diagonally. The computation continues by scanning row by row and checking if symbols  $x$  are just in all fields with both indexes odd, in case of other fields containing and for the other positions the symbol 1 if the field and its four neighbours form one of the possible configurations as follows:

1	$x$	1	0	1	$x$	#	$x$	1
$x$ 1 $x$	1 1 1	0 1 0	1 1 1	1 1 1	# 1 1	$x$ 1 $x$	1 1 #	$x$ 1 $x$
1	$x$	1	0	1	$x$	1	$x$	#

□

**Theorem 2.**  $\mathcal{L}(DFSA)$  is not a subset of  $\mathcal{L}(CFG)$ .

*Proof.* Let  $G = (V_N, V_T, S, \mathcal{P})$  be a  $CFG$  such that  $\mathcal{L}(G) = L$ , where  $L$  is the language from the Example 2. Without loss of generality,  $\mathcal{P}$  does not contain any production of the form  $A \rightarrow B$ , where  $A, B$  are non-terminals. We take an odd integer  $n = 2.k + 1$ . Let  $L_1$  be the set of all pictures in  $L$  of the size  $n$ .  $n$  is chosen sufficiently large so that no picture in  $L_1$  equals to the right side of anyone production. We have  $|L_1| = 2^k . 2^k = 2^{n-1}$  (there is  $k$  columns and  $k$  rows, for each we can choose if the row, resp. column consists of 1's or not). There is at least  $\frac{2^{n-1}}{|\mathcal{P}|}$  pictures in  $L_1$  that are derived in the last step using the same production. Let it be the production  $S \rightarrow [A_{ij}]_{p,q}$ . Let the set of the given pictures be  $L_2$ . Without loss of generality, we assume that  $p \geq q$ . In addition,  $p \geq 2$  (otherwise the production is of the form  $A \rightarrow B$ ).

The goal is to show that there are two pictures  $U, V \in L_2$  such that  $U = \bigoplus [U_{ij}]_{p,q}$ ,  $V = \bigoplus [V_{ij}]_{p,q}$ ,  $U_{ij}, V_{ij} \in \mathcal{L}(G, A_{ij})$  (property (1)) and next that the first row of  $U$  does not equals to the first row of  $V$  (property (2) – in other words, it means  $U$  and  $V$  differs in one of columns with respect to the symbols 1). The number of all possible sequences

$$cols(U_{1,1}), cols(U_{1,2}), \dots, cols(U_{1q}), rows(U_{1,1}), rows(U_{2,1}), \dots, rows(U_{p1})$$

is bounded by  $n^{p+q}$ . There exists a set  $L_3 \subseteq L_2$ ,  $|L_3| \geq \frac{2^{n-1}}{|\mathcal{P}| . n^{p+q}}$  and each pair of pictures in  $L_3$  has the property (1).  $L_2$  contains a subset of  $2^k = 2^{\frac{n-1}{2}}$  pictures, where each pair does not satisfy the property (2). It implies that the pair  $U, V$  exists in  $L_3$  for some sufficiently large  $n$ . If we replace the sub-pictures  $U_{1,1}, \dots, U_{1q}$  in  $U$  by the sub-pictures  $V_{1,1}, \dots, V_{1q}$  ( $U_{1i}$  replaced by  $V_{1i}$ ) we get the picture  $O$  that is in  $L$  again. But it is a contradiction, because  $O$  does not have all properties of pictures in  $L$ . □

## 5 Two-dimensional Forgetting Automata

Forgetting automata are bounded Turing machines that can rewrite the content of a field by the special symbol @ only (we say, they erase it). It is possible to characterize (one-dimensional) context-free languages using forgetting automata as it is shown in [5]. We extend some of these ideas – we show that two-dimensional context-free languages can be recognized by two-dimensional forgetting automata. The proof is strongly based on

a technique of storing information in blocks that has been presented in [1], where relations between two-dimensional *NFSA* and two-dimensional forgetting automata are studied.

**Definition 6.** Two-dimensional forgetting automaton (*NFA*) is a two-dimensional bounded Turing machine  $(Q, \Sigma, \Sigma_0, q_0, \delta, Q_F)$ , where  $\Sigma = \Sigma_0 \cup \{\#, @\}$ .  $@ \notin \Sigma_0$  is a special symbol called the erase symbol. In addition, if  $(a, q) \rightarrow (\bar{a}, \bar{q}, d)$  is an element of transition relation given by  $\delta$ , then  $a = \bar{a}$  or  $\bar{a} = @$ .

First of all, we sketch an idea of how a deterministic forgetting automaton (*DFA*) can store and retrieve information by erasing some symbols on the tape so that the entry picture can be still reconstructed (we follow the description presented in [1]).

Let  $A = (Q, \Sigma, \Sigma_0, q_0, \delta, Q_F)$  be a DFA. Let  $\Sigma_0 = \Sigma \setminus \{@, \#\}$ .  $A$  performs a computation on a picture  $O$ . Let  $M$  be the set of tape fields containing  $O$ . Let  $O(f)$  denote a symbol contained in the field  $f \in M$ . Then for each  $G \subseteq M$  there is  $s \in \Sigma_0$  such that  $|\{f \in G, O(f) = s\}| \geq \frac{|G|}{|\Sigma_0|}$ . Let the automaton  $A$  erase fields of  $G$  containing the symbol  $s$  only. Each such field can therefore store 1 bit of information: the field is either erased or not erased. It is thus ensured that  $G$  can hold at least  $\frac{|G|}{|\Sigma_0|}$  bits of information. Furthermore, the original symbol of all erased fields in  $G$  is known – it is  $s$ .

Let us consider  $M$  to be splitted into rectangular blocks of the size  $k \times l$ , where  $n \leq k < 2n$ ,  $n \leq l < 2n$  for some  $n$ . The minimum value for  $n$  will be determined in the following paragraphs. (In the case of just one dimension of the picture being lower than  $n$ , the blocks will be only as high – or wide – as the picture. In the case of both dimensions of the picture being lower than  $n$ , an automaton processing such a picture can decide whether to accept it or reject it on the basis of enumeration of finitely many cases.)

If both width and height of  $M$  are at least  $n$ , all blocks contain  $n \times n$  fields, except for the blocks neighbouring with the lower boundary of  $M$ , which can be higher, and the blocks neighbouring with the right boundary of  $M$ , which can be wider. Nevertheless, both dimensions of each block are at most  $2n - 1$ .

Each block  $B_i \subseteq M$  is divided into two parts –  $F_i$  and  $G_i$ .  $F_i$  consists of the first  $|\Sigma_0|$  fields of  $B_i$ . We can choose the size of the blocks arbitrarily, so a block will always contain at least  $|\Sigma_0|$  fields.  $G_i$  contains the remaining fields of  $B_i$ . Let  $s_r \in \Sigma_0$  be a symbol for which  $|\{f \in G_i, O(f) = s_r\}| \geq \frac{|G_i|}{|\Sigma_0|}$ . The role of  $F_i$  is to store  $s_r$ : if  $s_r$  is the



$r$ -th symbol of  $\Sigma_0$  then  $A$  stores it by erasing the  $r$ -th field of  $F_i$ . Now  $A$  is able to determine  $s_r$ , but it needs to store somewhere the information about the symbol originally stored in the erased fields in  $F_i$ .  $A$  uses the first  $|\Sigma_0|$  bits of information that can be stored in  $G_i$ . If the erased symbol in  $F_i$  was the  $q$ -th symbol of  $\Sigma_0$  then the  $q$ -th occurrence of  $s_r$  in  $G_i$  is erased, allowing  $A$  to determine the erased symbol in  $F_i$ . This way a maximum of  $|\Sigma_0|$  bits of available information storable in  $G_i$  will be lost. For any block  $B_i$  containing  $m$  fields this method allows  $A$  to store at least  $\frac{m-|\Sigma_0|}{|\Sigma_0|} - |\Sigma_0|$  bits of information in  $B_i$ .

In the following text, a region is every rectangular sub-array of tape fields. We can consider such a region to be the picture as well.  $\bigoplus[R_{ij}]_{m,n}$  (if defined) is used to denote the region that is the union of  $R_{ij}$ 's, where indexes of rows, resp. columns in  $R_{ij}$  are less than indexes of rows in  $R_{i+1,j}$ , resp. columns in  $R_{i,j+1}$ .

**Theorem 3.**  $\mathcal{L}(CFG) \subset \mathcal{L}(NFA)$

*Proof.* Let us consider a context-free grammar  $G = (V_N, V_T, S_0, \mathcal{P})$ ,  $\mathcal{L}(G) = L$ . We describe how to construct a forgetting automaton  $A$  that recognizes  $L$ . We define  $\Sigma$  as  $V_T \cup \{\#, @\}$ . Let  $O$  be an input picture. The idea of the computation of  $A$  is to try to construct a derivation tree  $T$  for  $G$  such that its root is labeled  $(S_0, rows(O) \times cols(O))$  and  $p(T) = O$ . During the computation,  $O$  (more precisely, the region containing the input) will be splitted into disjunct regions, each labeled by an element of  $V_T \cup V_N$ . We distinguish two kinds of regions: Regions consisting of one field ( $t$ -regions) – each labeled by a terminal given by the original content of the field, and regions consisting of more than one field ( $N$ -regions) – labeled by a non-terminal. Some of possible regions are *derived*. A derived region is *represented* if there is information determining its position, size and label stored on the tape. We explain later how  $A$  derives and represents regions. We consider a bijection between derived regions and vertices of  $T$ . Let  $m(R)$  denote the vertice corresponding to a region  $R$  and  $m^{-1}(v)$  the region corresponding to a vertice  $v$ .

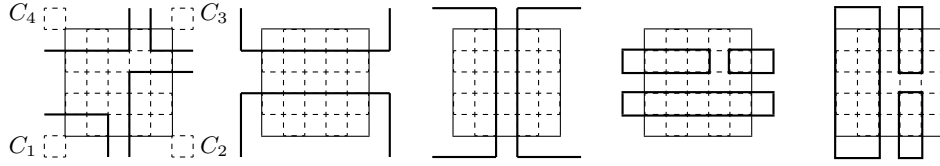
At the beginning stage, we consider  $O$  to be splitted into  $rows(O).cols(O)$   $t$ -regions. Each region is derived, represented and corresponds to a leaf of  $T$ . These regions are the only derived regions at the beginning.  $A$  works in cycles. A cycle includes steps. In a step,  $A$  derives a new region. Roughly said, it non-deterministically chooses a (not derived yet) region  $R = \bigoplus[R_{ij}]_{s,t}$ , where  $R_{ij}$  are represented regions or regions derived in the current cycle,  $R_{ij}$  labeled  $A_{ij}$ , and a production  $N \rightarrow [A_{ij}]_{s,t} \in \mathcal{P}$  (if such a production does not ex-

ists, the computational branch does not accept).  $R$  is derived and labeled  $N$ . As for the tree  $T$ ,  $m(R)$  is labeled  $(N, cols(R) \times rows(R))$  and  $p(v(R)) = R$ ,  $m(R_{ij})$  is a descendant of  $m(R)$ , the edge connecting the vertices is labeled  $(i, j)$ .  $A$  uses the technique of storing information in blocks. We consider  $O$  to be divided into rectangular blocks  $B_i$  such that  $n \leq rows(B_i), cols(B_i) < 2n$ , where  $n$  is a constant that we derive later. We assume  $rows(O), cols(O) \geq n$ . The other inputs will be discussed as a special case. Let us describe how to represent regions during the computation.  $A$  does not represent any  $N$ -region that is a subset of a block – we denote this requirement as (1). We distinguish two types of the remaining  $N$ -regions. Let us consider a block  $B$  of the size  $k \times l$  and a  $N$ -region  $R$ . Let us denote the four fields neighbouring with the corners of  $B$  as the  $C$ -fields of  $B$  (see Fig. 2). We say that  $B$  is a *border* block of  $R$  iff  $R \cap B \neq \emptyset$  and  $R$  does not contain all 4  $C$ -fields of  $B$ .  $A$  represents a region in its border blocks.

We consider the bits available to store information in  $B$  to be organized into groups. Each group has an *usage flag* consisting of two bits determining if the group is *not used* (information has not been stored in the group yet), *used* (stored information is current) or *deleted* (stored information is not relevant anymore). The first state is indicated by two non-erased symbols, the second one by one symbol erased and finally the third one by two erased symbols. One group of bits represents the intersection between a region and a block. Information in a group includes coordinates in the block (one coordinate requires  $\lceil \log(2.n) \rceil$  bits), labels (a non-terminal is represented unary using  $|V_N|$  bits) and various "flags" that we describe in the following paragraphs.

Let  $B$  be a border block of  $R$ . We say that the intersection between  $R$  and  $B$  is of the *first* type if  $R$  contains one or two  $C$ -fields of  $B$  and of the *second* type if  $R$  does not contain any  $C$ -field. It is obvious that if  $R$  has the intersection of the first, resp. second type with a border block then it has the intersection of the same type with all its border blocks. It means we can denote every  $N$ -region having the intersection of the first, resp. second type with its border blocks as  $N_1$ -region, resp.  $N_2$ -region.

There can be 8 (Fig. 2) different types of the intersection between a  $N_1$ -region  $R$  and a block  $B$  with respect to which  $C$ -fields of  $B$  are included in  $R$ . It means the intersection can be represented using 3 bits determining the type and one or two coordinates. Let us solve the question how many different intersections with  $N_1$ -regions  $A$  need to represent during the computation in  $B$ .  $B$  can be a border block of 4 different represented  $N_1$ -regions after performing a sequence of cycles. The border



**Fig. 2.** A block and its  $C$ -cells; eight types of intersection between the block and a  $N_1$ -region; the horizontal and vertical types of  $N_2$ -regions.

(coordinates in  $B$ ) of one  $N_1$ -region can be changed maximally  $k + l - 2$  times (before it completely leaves  $B$ ), because every change increases, resp. decreases at least one of the coordinates. It means it is sufficient if  $B$  can represent  $8.n$  intersections. Note that more than  $8.n$   $N_1$ -regions having the non-empty intersection with the border block  $B$  can be represented, however, some of them have the same intersection with  $B$ . In addition, if  $A$  knows coordinates of  $R$  in  $B$  it can determine, which group of bits represents  $R$  in neighbour blocks. The label of  $R$  is represented in one of its border blocks – the correspondent usage flag is of the value "used". If two labels are reserved in each group of bits then there is always at least one not used label in a border block of a new represented region.

We consider  $N_2$ -regions to be vertical or horizontal (Fig. 2). Let  $R$  be a horizontal, reps. vertical  $N_2$ -region. There are three types of intersection between  $R$  and  $B$ , thus  $A$  represents the intersection using tree bits determining if the region is vertical or horizontal and the type of the intersection, two coordinates of the first and the last row of  $R$  and, in addition, twice two coordinates with the usage flag that are reserved to represent positions of the leftmost and the rightmost column, resp. the first and the last row of  $R$  in correspondent border blocks eventually (the same idea of the representation as in the case of labels). It holds that there is just one border block of  $R$ , where one pair of coordinates is marked as used. Let the width of  $R$  be  $\min(\text{cols}(R), \text{rows}(R))$ . We add one more requirement, denoted (2), on the representation of regions: If  $R$  is a represented  $N_2$ -region then there is not any different represented  $N_2$ -region  $R'$  of the same width having the same border blocks. Under this assumption,  $A$  needs to represent maximally  $2.4.2.n = 16.n$  intersections of the second type in a block during the computation (the number of  $N_2$ -regions of the width 1 is bounded by  $4.\max(k, l) \leq 4.2.n$ , a  $N_2$ -region of a greater width is created as the concatenation of several  $N_2$ -regions of less widths, every concatenation decreases the number of represented  $N_2$ -region at least by 1).

We complete and summarize what information should be stored in a block during the computation. One bit determines if  $B$  is a subset of some derived  $N$ -region or not – this information is changed during the computation one time exactly. According to this bit,  $A$  determines if a field of a block that is not a border block of any  $N$ -region is  $t$ -region or not.  $8.n$  groups of bits are reserved to represent intersections of the first type. Each group consists of the usage flag, 3 bits determining the type of intersection, two coordinates and two labels, each with the usage flag. Similarly,  $16.n$  groups of bits are reserved to represent intersections of the second type. These groups contain one additional information – twice two coordinates and the usage flag. It means we need  $O(n.\log(n))$  bits per a block, while a block can store  $\Omega(n^2)$  bits. It implies that there exists a suitable constant  $n$ .

We can describe cycles and steps in more details now. Let  $d$  be the maximal number of elements of the right side of productions in  $\mathcal{P}$ . In a cycle,  $A$  non-deterministically chooses a non-represented region  $R$  consisting of the set of regions  $\mathcal{R} = R_1, \dots, R_s$  that are all represented, and a sequence of productions  $P_1, \dots, P_t$ , where  $s, t \leq d.4n^2 + 2.4n^2$ .  $A$  chooses  $R$  as follows. It starts with its head placed in the upper left corner of  $O$ . It scans row by row from left to right, proceeding from top to bottom and non-deterministically chooses the upper left corner of  $R$  (it has to be the upper left corner of an already represented region). Once the corner is chosen,  $T$  moves its head to the right and chooses the upper right corner. While moving, when  $T$  detects a region  $R_i$  first time, it scans its borders and remembers in states the neighbour regions of  $R_i$  including their order and the label of  $R_i$  as well. When the upper right corner is "claimed",  $A$  continues by scanning next rows of  $R$  until it chooses the last one. Every time  $T$  enters a new represented region (not visited yet), it detects its neighbours. Thanks to mapping of neighbouring relation among  $R_i$ 's,  $A$  is able to move its head from one region to any other desired "mapped" region.

$A$  continues by deriving regions. The first region is derived according to  $P_1$ .  $A$  chooses  $S_1 = \bigoplus [S_{ij}]_{s_1, t_1}$ , where each  $S_{ij}$  is one of  $R_{ij}$ 's and checks if  $S_1$  can be derived. Let us consider all  $S_{ij}$ 's to be deleted from  $\mathcal{R}$  and  $S_1$  to be added.  $A$  performs the second step on the modified set  $\mathcal{R}$  using  $P_2$ , etc. In the last step  $A$  derives  $R$ . All these derivations are performed in states of  $A$  only. After that,  $A$  records changes on the tape. If the region corresponding to  $O$  labeled  $S_0$  is derived then  $T$  has been constructed and  $O \in L$ . On the other hand, let  $T$  be a derivation tree for  $G$  having its root labeled  $(S_0, rows(O) \times cols(O))$  and  $p(T) = O$ .  $A$  can

construct  $T$  despite the requirements (1) and (2). If  $R$  is a region derived using some regions that are subsets of blocks,  $A$  derives such regions in one cycle. It requires to choose  $d.4n^2$  regions maximally. If  $R$  and  $R'$  are  $N_2$ -regions to be derived having the same border blocks and  $R \subseteq R'$ ,  $A$  derives both in one cycle and represents  $R'$ . Note that  $|R' \setminus R| \leq 2.4n^2$ , thus  $A$  can choose all needed regions to derive  $R'$  as well.

Let us discuss the remaining special case when one of the sizes (e.g.  $cols(O) = m$ ) of  $O$  is less than  $n$  (if both sizes are less than  $n$  then  $A$  scans all symbols and accept or reject  $O$  immediately). In this case,  $A$  needs to represent horizontal  $N_2$ -regions in a block only. In addition, maximally  $4.m$  different intersections have to be represented in a block (estimated similarly as in the previous case).  $O(m.log(n))$  bits are required, while  $\Omega(m.n)$  bits can be stored, thus a suitable constant  $n$  exists again.  $\square$

## 6 Conclusions

We proved that  $\mathcal{L}(CFG)$  does not include all languages in  $\mathcal{L}(DFSA)$ . It indicates that the presented class is not a suitable candidate for the class of "real" two-dimensional context-free languages. However, in our opinion, this class deserves an attention, because it is based on a natural generalization of  $CF$  grammars. We showed how forgetting automata can recognize  $\mathcal{L}(CFG)$ . The arising question to be study now is whether forgetting automata restricted in some way can characterize it exactly.

## References

1. P.Jiříčka, V.Král: Deterministic Forgetting Planar Automata, in proceedings of the 4-th Int. Conference: Developments in Language Theory, Aachen, Germany, 1999.
2. D.Giammarresi, A.Restivo: Two-dimensional Languages, In A.Salomaa and G.Rozenberg, editors, Handbook of Formal Languages, volume 3, Beyond Words, pages 215–267. Springer-Verlag, Berlin, 1997.
3. J.Hopcroft, J.Ullman: Formal Languages and Their Relation to Automata, Addison-Wesley, 1969.
4. A.Rosenfeld: Picture Languages - Formal Models of Picture Recognition, Academic Press, New York, 1979.
5. P.Jančar, F.Mráz, M.Plátek: Characterization of Context-Free Languages by Erasing Automata, Proceedings of MFCS'92, LNCS 629, Springer, 1992, pp. 305–314.
6. P.Jančar, F.Mráz, M.Plátek: Forgetting automata and context-free languages, Acta Informatica 33, Springer-Verlag, 1996, pp. 409–420.
7. M.I.Schlesinger, V.Hlaváč: Deset Kapitol z Teorie Statistickeho a Strukturniho Rozpoznávání, CVUT, Prague, 1999, (in Czech).
8. P.Jiříčka: Grammars and automata with two dimensional lists (grids). Master thesis, Faculty of Mathematics and Physics, Charles U., Prague, 1997, (in Czech).